

## Spatial Modeling in Landslide Susceptibility

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### Abstract

*Landslide Susceptibility Mapping is one of the mitigation efforts to detect vulnerable areas for minimizing the risk of landslide disasters. This paper describes spatial model development for assessing landslide susceptibility by considering human and biophysical factors. The main objective of this research is to develop a spatial modeling of landslide susceptibility, particularly in several regencies of West Java Province. The data analysis includes data pre-processing, regression analysis, correlation analysis, score development, and weight determination using Principal Component Analysis (PCA). The study found that the most important factors that contributed to landslide susceptibility within the research area is the Landuse/Landcover, then followed by Slope, Distance to River, Soil Type, Annual Rainfall, Population Density, Geology Age, Climate Type, and Geomorphology. The first three highest factors occupied almost 53% of the total weight. The model successfully estimated the spatial distribution and degree of landslide susceptibility into 3 classes having overall accuracy of about 68%.*

**Keywords:** Landslide Susceptibility, PCA, Spatial Modeling, West Java

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### 1. Introduction

As a country located within the ring of fire zone, Indonesia has been frequently affected by landslides. During the period 1981-2007 the annual landslide frequency have an average of 49 events per year [1]. Many landslides occur in mountainous regions. Mountainous regions have steep slopes which will cause materials from the surface to lose by gravity. In Central or South America, most landslides occur (or have the potential to occur) in mountainous regions of the Andes and steep slopes in volcanic regions [10]. Occurrence in mountainous regions is also happening in West Java. Based on landslide inventory data obtained from the Center of Volcanology and Geological Hazard Mitigation of Indonesia, since 1951 until 2003 the West Java Province suffered from landslides which were mainly in its mountainous area [6]. Total number of fatalities caused by landslide in Indonesia alone in the year 2007 was 465, which was the second highest number of fatalities next to China [9]. The impact in terms of loss of lives and damage to buildings, landslides in Indonesia produce significant damage to agricultural land and roads, with the subsequent economic disruption [7].

Landslide mechanism and evolution are closely related to the geological conditions and environmental factors, and are controlled not only by geological forces, lithologic structure, and other crustal internal factors; but also by topography, land cover, precipitation, changes in human activities, and environmental conditions [11]. Other triggering factors such as earthquake can induce the event of landslides which can be seen in 2009, where an earthquake that happened offshore of Tasikmalaya triggered rock avalanches and cracks in West Java. The cracks that were triggered by the earthquake enabled infiltration of precipitation which is high in West Java (exceeds 3000 mm/year) thus resulting the occurrence of landslides [12]. Landslides in Indonesia are mainly caused by topographic and morphology conditions, soil and deposit formation, geology structure, and rainfall intensity. But the characteristic of landslides occurrences in an area can differ from one another. Landslides in Java Island have a slow movement flow due to the characteristic of mostly clay stone and lime stone as for landslide in Sumatera Island are mostly fast debris flow because of the main influences of fault and steep slope [2]. Moreover, extensive land use as an impact from high human population has an important role to landslide occurrences [2].

Due to the high occurrences of landslides in Indonesia, and the high number of fatalities that can occur, there is a need of a mitigation method in Indonesia to prevent future landslide disasters. Various mitigations have been done such as stochastic analysis of rainfall effects [3], development of application vulnerability assessment considering social and economic aspects [8]. Mitigation regarding to landslide events in Indonesia have been stated in the law for regulation of the minister in Indonesia number 33 years 2006. The policy for the strategy for mitigation are 1) same perception in applying steps and procedures, 2) implementation is done with integration and coordination with all units and community, 3) preventive measures are prioritized to minimize disasters, and 4) raising awareness and powers through cooperation through all party with community empowerment and campaigns. One of the strategies of mitigation is to provide landslide susceptibility mapping.

Landslide susceptibility basically can be defined as the classification of volume (area), and spatial distribution of landslides which exists or potentially may occur in an area [4]. Spatial modeling approach that can model landslide susceptibility areas based on the nature of the landslide occurrences and the characteristic of the area is needed to generate an optimum landslide susceptibility map for any area. The development of this approach in spatial modeling for landslide susceptibility can be an input to enrich the modeling for landslide susceptibility in Indonesia which concludes the foundation of the main idea of this research.

The objective of this research is to develop a spatial model of landslide susceptibility that can model landslide susceptible areas and identify the significant driving forces of landslide mainly in area of West Java Province. The research area are 4 regencies within West Java Province which are; Cianjur Regency, West Bandung Regency, Bandung Regency, and Garut Regency. This research have analyzed landslide susceptibility based on the biophysical factors, human factors, and triggering factors of landslides that were correlated with the landslide inventory data from Indonesian National Board for Disaster Management (BNPB) of the 109 landslide locations that have happened throughout the year 2011 – 2015 within the research area. The spatial modeling method used regression and Principal Component Analysis (PCA) to determine the factors and weight of the factors used in this analysis. The result of the method was compared using overall accuracy of the models with the landslide density of the research area.

## 2. Research Method

The study area covers four regencies in West Java Province, Indonesia, i.e. (1) Cianjur Regency, (2) West Bandung Regency, (3) Bandung Regency, (4) Garut Regency which (Figure 1). The research steps was divided into six processes, (1) Data Pre-Processing (2) Scoring Development (3) Correlation Analysis (4) Weight Determination (5) Susceptibility Class Development, and (6) Model Accuracy. Initially the model was developed by considering 11 factors consisting by biophysical and human factors namely landuse/landcover, slope, annual rainfall, climate type, geology age, soil type, geomorphology, and distance to fault, distance to river, population density, and farmer density.

The pre-processing includes a development of actual landslide density map that was derived from the 109 landslide events recorded from 2011-2015 by BNPB. This history events data was extracted into points from tabular data of past landslide events that have happened in Indonesia downloaded from the website of the Indonesian National Board for Disaster Management (BNPB). Actual landslide density was generated per 5 km<sup>2</sup> of the research area. This can be explained in Table 1.

Scoring and development was performed by analyzing the regression between the variable considered and the landslide occurrence. It was examined that the score was obtained by applying several shape of trend i.e. linear, polynomial, and exponential. This scoring method was adopted from [5]. The equation and score is explained in Equation 1 and Table 2.

$$ScoreRout = \left[ \frac{(ScoreEinput - ScoreEmin)}{(ScoreEmax - ScoreEmin)} * (ScoreRmax - ScoreRmin) \right] + ScoreRmin \quad (1)$$

Where:

Score Rout = Rescaled Score Score Emax=Maximum Expected Score

Score Einput = Estimated input score Score Rmax= Highest rescaled score

Score Emin = Minimum Expected Score Score Rmin= Lowest rescaled score

Regression also considered the relationship between the variable and the landslide occurrences based on  $R^2$  of trend-line. The study considered all factors that have  $R^2 < 45\%$  have low relationship to the landslide events. Distance to fault was chosen to be eliminated because of the low  $R^2$  result.

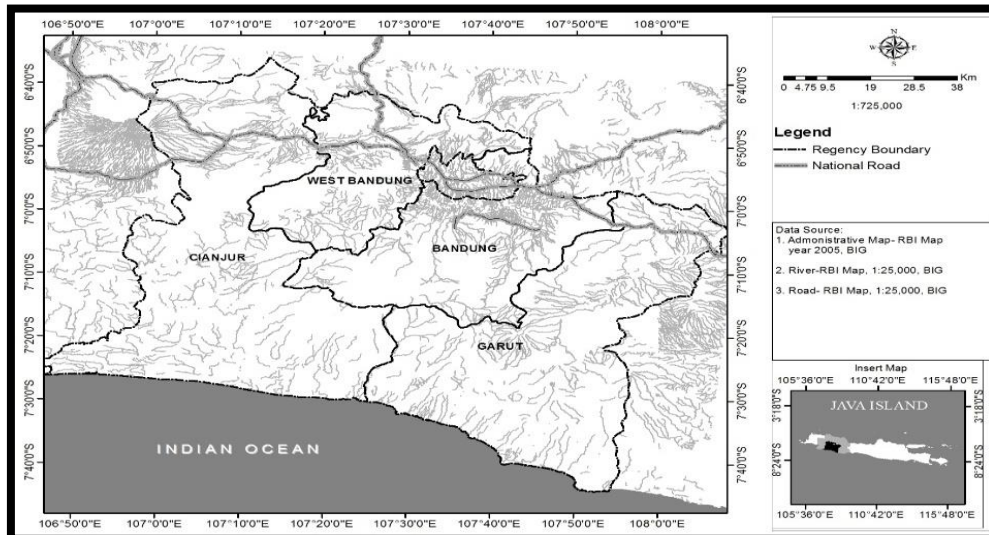


Figure 1. Research Area

To measure the independency among the independent variables of the model, correlation analysis was performed. Using the Pearson's Correlation, all factors that had significant correlation value  $>0.600$  were considered. From this analysis, population density factor and farmer density factor had a correlation value  $>0.600$ . In order to prevent data redundancy, only one from those factors was chosen to be eliminated. Farmer density factor was chosen to be eliminated for this analysis resulting in 9 factors to be continued in this analysis in determining the landslide susceptibility for the research area.

In weight determination, this analysis used Principal Component Analysis (PCA). Research regarding the use of PCA in weight determination has been proposed in research such as [15]. Components used in determining the weight determination can also explain the total percent of variance of the data. The PCA process was done using statistic software SPSS. From the result of the PCA, principal components with explaining the component loadings were generated for the factors being analyzed this can be seen in Table 3. Weight determination was generated from the composite of multiplying the value of the component loadings to the percent of variance from each of the principal components (Equation 2).

$$W_i = \sqrt{\sum_{ij=1}^n V_{ij}^2 P_{ij}} \quad (2)$$

Where:

$W_i$  = Weight of factor  $i$

$V_{ij}$  = Component loading from principal component  $i$  to  $j$

$P_{ij}$  = Percent of variance from principal component  $i$  to  $j$

Susceptibility class development was then generated from the Landslide Susceptibility Index (LSI). Which represents the scores for the landslide susceptibility? LSI was generated by calculating the composite of the rescaled sub-factor scores multiplied by the weight of the representing factor. This equation is explained in Equation 3.

Table 1. Actual Landslide Density of research Area

Landslide Density (LS/5 sq km)	Risk
0	Very Low
0 - 0.025	Low
0.025 - 0.063	Medium
0.063 - 0.102	High
≥0.102	Very High

Table 2. Rescaled Score of Factors of Landslide

Sub-factor	Score	Sub-factor	Score	Sub-factor	Score	Sub-factor	Score	Sub-factor	Score
Soil Type		Population Density (person/km2)		Rain (mm/Year)		Distance to River (m)		Geology Age	
Tropaquepts; Fluvaquents	10.0	192.09	10.0	2082	10.0	250	100.0	Pistosen-Holosen	10.0
Eutropepts; Tropaquepts	15.3	252.22	13.7	2132	16.2	500	85.8	Miosen	18.6
Dystrandepts; Tropudults; Eutropepts	19.0	301.76	16.7	2201	24.9	750	72.9	Holosen	24.2
Vitrandepts; Eutropepts	21.5	404.34	23.0	2316	39.2	1000	61.1	Miosen Tengah	27.6
Eutropepts; Tropudults;	23.0	533.01	30.8	2436	54.2	1250	50.5	Oligosen	29.3
Eutropepts; Rendolls	23.9	616.62	35.9	2505	62.8	1500	41.1	Plistosen	30.3
Paleudults; Eutropepts	24.3	705.06	41.3	2601	74.8	1750	33.0	PlistosenAtas	31.3
Dystropepts; Eutropepts; Tropudalfs;	24.6	800.87	47.2	2610	75.9	2000	26.0	Pliosen	33.0
Euntranndepts; Tropudults; Tropohumults	25.1	935.09	55.4	2629	78.3	2250	20.2	Kwartier	36.1
Dystropepts; Dystrandepts; Tropudults;	26.0	977.91	58.0	2634	78.9	2500	15.6	Plio-Plistosen	41.5
Dystropepts; Paleudults	27.6	1048	62.2	2714	88.9	2750	12.2	MioseAtas	49.8
Dystrandepts; Humitropepts; Hydrandepts	30.2	1112.2	66.2	2737	91.8	3000	10.0	Mio-Pliosen	61.8
Dystropepts; Humitropepts; Tropohumults	34.1	1209.48	72.1	2739	92.0	4000	10.0	OligosenAtas	78.3
Dystropepts; Tropudults; Troporthents	39.5	1325.69	79.2	2751	93.5			MiosenAtas	100.0
Euntranndepts; Troporthents	46.8	1371.74	82.0	2766	95.4				
Eutropepts; Tropudults; Tropudalfs	56.2	1389.2	83.1	2771	96.0				
Euntranndepts; Troperthents	68.0	1447.3	86.6	2785	97.8				
Tropudults; Dystropepts	82.5	1662.75	99.8	2800	99.6				
Tropudalfs; Paleudults; Dystropepts	100.0	>1662.75	100.0	>2800	100.0				
Landuse		Geomorphology		Slope (%)		Climate Type			
Urban	10.0	Alluvial Plains	10.0	0-8%	10	A	10		
Rice Field	14.0	Beaches	24.3	8-15%	32.5	C	100		
Non Timber Plantation	20.0	Fans and Lahars	40.5	15-25%	55				
Dry Land Agriculture	28.1	Plains	58.5	25-40%	77.5				
Shrub	38.3	Hills	78.3	>40%	100				
Mixed Dry Land Agriculture	50.6	Mountains	100.0						
Timber Plantation	65.0								
Secondary Dry Land Forest	81.5								
Bare Soil	100.0								

$$LSI = \sum_{i=1}^n Score_i * W_i \tag{3}$$

Where:

LSI = Landslide Susceptibility Index

Score<sub>i</sub> = Rescaled Score of sub-factor for factor i

W<sub>i</sub> = Weight of Factor i

Overall accuracy analysis was done from the susceptibility classes to the actual landslide density. The susceptibility class was generated from a regression analysis between the LSI results to the actual landslide density. The overall accuracy will show which of the models show the most optimum consistency with the actual landslide density. A random 390 samples were generated from the actual landslide density for the overall accuracy analysis.

Table 3. Component Loadings

Factor	Component Loadings								
	1	2	3	4	5	6	7	8	9
Geomorphology	0.76	0.18	-0.34	0.24	-0.1	0.06	0.11	-0.04	0.44
Landuse	0.7	0.33	0.07	0.17	0.1	0.55	-0.09	-0.08	-0.2
soil	0.68	0.24	0.29	-0.2	-0.39	-0.12	0.42	0.02	-0.14
Slope	0.64	0.32	-0.18	0.42	0.09	-0.44	-0.18	0.04	-0.19
Population density	-0.63	0.57	-0.16	0.09	-0.15	0.12	0.02	0.46	0
Geology age	0.62	0.05	0.58	-0.29	-0.07	-0.05	-0.36	0.18	0.16
Rainfall	0.62	-0.49	-0.07	-0.04	0.47	0.03	0.21	0.33	-0.03
Distance to River	-0.33	0.61	0.44	0.03	0.51	-0.09	0.17	-0.11	0.12
Climate Type	-0.24	-0.39	0.56	0.67	-0.16	0.05	0.07	0.06	0.04

### 3. Results and Discussion

From the weight determination process, a set of weights from examining various set of principal components was generated to find out the most accurate weight composition that could explain the magnitude load of each factors to assess the landslide occurrences by applying the Equation 2, the composition of each PC set is summarized in Table 4. Based on the total cumulative variance for each set of the PC, we found that PC 1-7, PC1 -8, and PC 1-9 explain more than 90% of the total variance.

Based on each PC set examined, then the study classified the landslide susceptibility into five classes starting from very low to very high landslide susceptibility as summarized in Table 5. The performance of each set was expressed by the overall accuracy. The study show that the accuracy of each PC set is shown in the bottom row of Table 5.

The overall accuracy result determines the best PC set to become the weight of the model. Based on the overall accuracy, LSI generated by the weight determination of the composite of components PC 1 until PC 8 (PC1-8) showed the most accurate among all of the other set of components. This can also be seen in Table 5 where PC 1-8 showed the highest at 27.95% overall accuracy than other set of components for classifying five susceptibility classes. In detailed version, the accuracy assessment for PC 1-8 is provided in Table 6.

Table 4. Weight of Factors Based on Set of Principal Components

Factor	Principal Component Set								
	PC 1	PC 1-2	PC 1-3	PC 1-4	PC 1-5	PC 1-6	PC 1-7	PC 1-8	PC 1-9
Climate	-0.085	0.079	0.115	0.144	0.109	0.069	0.066	0.066	0.062
Geology age	0.219	0.089	0.122	0.12	0.083	0.054	0.068	0.068	0.071
Geomorphology	0.269	0.113	0.111	0.108	0.08	0.054	0.054	0.054	0.094
Landuse/Landcover	0.249	0.117	0.099	0.094	0.071	0.241	0.232	0.231	0.22
Population density	-0.225	0.138	0.12	0.111	0.089	0.073	0.071	0.073	0.069
Rain	0.219	0.126	0.107	0.098	0.167	0.1	0.099	0.1	0.094
Dist. to river	-0.118	0.122	0.127	0.116	0.182	0.115	0.112	0.112	0.107
Slope	0.229	0.11	0.097	0.109	0.078	0.195	0.189	0.188	0.18
Soil	0.242	0.107	0.102	0.099	0.141	0.098	0.108	0.107	0.103
Cumulative Variance (%)	36.25	51.69	64.05	73.36	81.24	87.28	92.12	96.3	100

Table 5. Criteria of Landslide Susceptibility Class Based on Each Principal Component Set

Susceptibility Class	PC 1-2	PC 1-3	PC 1-4	PC 1-5	PC 1-6	PC 1-7	PC 1-8	PC 1-9
Very Low	0-20	0-21	0-20	0-25	0-20	0-20	0-21	0-20
Low	20-27	21-33	20-32	25-34	20-28	20-27	21-35	20-29
Moderate	27-34	33-43	32-42	34-42	28-41	27-41	35-47	29-47
High	34-54	43-53	42-53	42-51	41-54	41-54	47-61	47-56
Very High	54-100	53-100	53-100	51-100	54-100	54-100	61-100	56-100
Accuracy 3 Class (%)	67.17	65.38	58.97	56.92	60.51	59.74	68.2	63.58
Accuracy 5 Class (%)	23.84	19.74	14.61	16.66	12.05	10	27.94	13.33

Table 6. Overall Accuracy for Five Class Landslide Susceptibility Using PC 1-8

Class	5 Class Landslide Susceptibility					Total
	Very Low	Low	Moderate	High	Very High	
Very Low	0	22	7	1	0	30
Low	10	12	3	5	0	30
Moderate	0	18	55	17	0	90
High	0	20	42	28	0	90
Very High	0	0	0	136	14	150
Total	10	72	107	187	14	390
Overall Accuracy	27.95%					
Kappa Accuracy	9.49%					

As mentioned above, the most accurate PC set is PC 1-8. From the weight found for PC 1-8 that is explained in Table 4, the study noted that the largest weight has been contributed by Landuse/landcover factor followed by slope, distance to river, soil type, annual rainfall, population density, geology age, climate type, and geomorphology. Landuse/landcover as the most significant factor to the events of the landslides in the area might be caused by landuse change within the area that can cause instability. This study is in line with research done by [13] where the susceptibility had been affected strongly due to the increase of bare soil. The other following factors which were slope, followed by distance to river, soil type, and rainfall might be caused by the slope gradient and the condition of slope as the initiation factor of the instability of slope. This can be proven by research done by [14] which shows the research in slope failures that was induced by heavy rainfall. Based on research [14], the seepage and rainfall tests on model slopes indicate that slope failure always occurred when the soil moisture content within a certain region near the toe of slope became nearly fully saturated, even if other parts of the sliding mass were still only partially saturated.

By applying the weight of PC 1-8, using the five class as described in Table 6, the study notes that there were some confusion particularly in susceptibility classes of low to moderate and high to very high resulting the accuracy to be lower than 60% at five classes. To increase the accuracy, then the study merge the five classes into only three classes as tabulated in Table 7 which are very low, low/moderate, and high/very high.

Table 7. Overall Accuracy for 3 Class Landslide Susceptibility

Class	3 Class Landslide Susceptibility			Total
	Very Low	Low/Moderate	High/Very High	
Very Low	0	29	1	30
Low/Moderate	10	88	22	120
High/Very High	0	62	178	240
Total	10	179	201	390
Overall Accuracy	68.20%			
Kappa Accuracy	41.08%			

The study noted that by merging the five class of landslide susceptibility into three class, overall accuracy increased to 68.2% as displayed in Table 7. By overlaying the spatial

model of landslide susceptibility with the actual landslide density, the study then define that the very low class of the model was at LSI score range of 0-21, followed by low/moderate class at 21-47, and high/very high class at 47-100 as displayed in Table 8. Visualization of the applied LSI score of PC 1-8 in three susceptibility class is displayed in Figure 2.

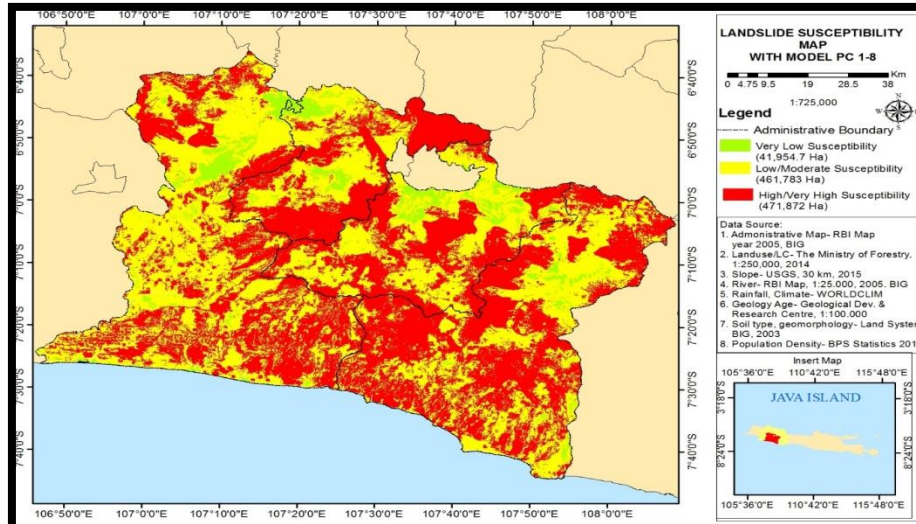


Figure 2. Landslide Susceptibility Map With 3 Class of Susceptibility

Table 8. Score of Landslide Susceptibility Class for Model PC 1-8

PC 1-8 Model 3 Susceptibility Class		Actual Landslide Density
Landslide Susceptibility Class	Score	Landslide Event / 5x5 km <sup>2</sup>
Very Low	0 - 21	0
Low/Moderate	21 - 47	0 - 0.063
High/Very High	47 - 100	> 0.063

#### 4. Conclusion

From the foregoing discussion, the study concluded that best model for assessing landslide susceptibility was the model developed by considering nine factors i.e. landuse/landcover, slope, distance to river, soil type, rainfall, population density, geology age, climate type, and geomorphology having weight 0.231, 0.188, 0.111, 0.107, 0.100, 0.073, 0.068, 0.066, and 0.054 respectively. It was also concluded that the model might assess the landslide into 3 classes of susceptibility from very low, low/moderate, and high/very high with overall accuracy of 68.2%. Since the landslide characteristic might vary from place to place, the study suggests that model may only be applied to assess areas having relating similar characteristic with the study area.

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