Machine learning based stator-winding fault severity detection in induction motors

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Article Info ABSTRACT

Article history:

Received Jul 20, 2024 Revised Oct 22, 2024 Accepted Oct 30, 2024

Keywords:

Discrete wavelet transforms Error correcting output codes Induction motors Statistical feature Stator winding faults Support vector machine

Approximately 35% of all induction motor defects are caused by stator interturn faults. In this paper a novel algorithm has been proposed to analyze the three-phase stator current signals captured from the motor while it is in operation. The suggested method seeks to identify stator inter-turn short circuit faults in early stage and take the appropriate action to prevent the motor's condition from getting worse. Three-phase current signals have been captured under healthy and faulty conditions of the motor. Involving discrete wavelet transform (DWT) based decomposition followed by reconstruction using inverse DWT (IDWT), 50 Hz fundamental component has been removed from the captured raw current signals. Subsequently, from each phase current 15 statistical parameters have been retrieved. The statistical parameters include mean, standard deviation, skewness, kurtosis, peak-topeak, root mean square (RMS), energy, crest factor, form factor, impulse factor, and margin factor. At the end, a standard machine learning algorithm namely error correcting output codes-support vector machine (ECOC-SVM) has been employed to classify six different severity of stator winding faults. The proposed fault diagnosis method is load and motor-rating independent.

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1. INTRODUCTION

Induction motors have a wide application in industries and their failure can lead to significant financial losses. Degradation and failure in turn-insulation in the winding of the stator of a three-phase induction motor are referred to as stator winding faults. If these faults at their early stages are not fixed right away, they may result in performance decline or possibly complete motor failure [1], [2]. An inter-turn short circuit (ITSC) is a typical stator winding fault that happens when two or more turns of same phase or different phases are in direct electrical contact, resulting in an excessive current flow that consequently harm the motor severely [3], [4]. An open circuit is a different kind of fault that results when there is a break or discontinuity in one or more phases of the stator winding. A single phase of the stator winding may also experience inter-turn faults. These issues entail a short circuit between wire turns within the same coil that are adjacent to each other [5]. Over the last few decades; condition monitoring gained more importance as it provides useful information regarding the motor health. It may be classified in two ways namely basic level and advanced level condition monitoring. Basic level condition monitoring has been carried out by measuring the stator current in different fault and load condition, vibration level of rotor. Advanced level monitoring mainly based on fourier transform, wavelet transform, Park's vector method, statistical analysis,

machine learning in combination with fault diagnosis algorithm [6]. Motor current signatures [3], [4], [6], vibration [7], [8], air gap flux [9], acoustic signal [10] of motor are the most significant parameters which are widely used for stator winding fault diagnosis. Several other methods such as insulation resistance testing, polarization index testing, and partial discharge analysis, can also be used to identify stator winding problems [11], [12]. Recently, Almounajjed *et al.* [13] have presented a condition monitoring technique where the accuracy of Motor Current Signature Analysis (MCSA) has been enhanced by introducing discrete wavelet transform (DWT). Stationary wavelet transforms [1], continuous wavelet transform (CWT) [14], reliable flux-based detection [15]-[19], may effectively be used to extract significant features from the signals under analyses. In more advanced schemes of fault diagnosis that are used to increase the performance of fault detection, machine learning methods are incorporated with signal processing tools. Over the years, researchers have proposed several advanced signal processing techniques such as principal component analysis (PCA) [20], independent component analysis (ICA) [21], and zero-sequence component analysis [22]. Statistical measures of the stator current data such as mean, variance, skewness, and kurtosis, may also be used with some modern classifier to identify the motor faults [23], [24]. Recently there has been an increasing interest in deep learning and machine learning techniques for the diagnosis of faults in induction motors [25]-[30]. Deep learning-based networks are more effective than machine learning as they can identify integral features of the original data. Recently, convolutional neural network (CNN) based deep learning has been effectively used in fault diagnosis of electrical machines, biomedical engineering, pattern recognition of images and videos, identification and localization of objects [31]-[37]. However, in case of decision making, few of these algorithms are influenced by many external conditions. Presence of noise during raw data acquisition, different inverter frequencies, harmonics, and efficiency of the data acquisition systems, may lead to erroneous fault detection. In machine learning based researches, it has been found that feature selection, effective feature extraction are exhaustive work and requires expert knowledge. In spite of having all the constraints and limitations, these techniques help to achieve better utilization of equipment in periodic maintenance of the motor. It is quite evident that the regular maintenance including insulation testing, vibration analysis and thermal monitoring can avoid or minimize the possibility of motor failure. This fact trades the need of a non-invasive condition monitoring tool for induction motor in the industries.

After going through a decent literature survey, it may be found that the researchers mostly investigated multiple signals such as current, vibration, thermal, and acoustic, to develop a suitable fault diagnosis method. Moreover, most of the recently proposed fault diagnosis techniques are based on several complex signal processing and classification tools which subsequently require high computation time for execution purpose. But the industries demand fast responding motor condition monitoring technique that can detect the fault within its lead time in order to protect the motor from possible catastrophic failure. Henceforth, the authors of the present work have set the objective of the study as to obtain prudent fault indicators for ITSC faults in stator-winding of induction motor, which takes less computation time. In the process, the authors proposed a simple yet highly efficient motor fault diagnosis technique that involves different statistical features extraction from the three-phase stator current signatures only, and subsequently identification of the class of faults using suitably selected machine learning method. The purpose of the proposed method is to provide a reliable and accurate fault diagnosis and detection technique for stator winding inter-turn faults in induction motors to facilitate the condition-based maintenance (CBM) scheme in order to improve reliability of the production process and reduce maintenance costs.

2. SCOPE OF THE WORK

In this work, a simpler but robust and novel fault diagnosis technique based on analysis of three phase stator currents has been proposed. The proposed technique may detect different severity of ITSC faults involving very few numbers of turns (minimum of 0.28% of total turns in a phase winding) in stator winding of the induction motor. Statistical features were used in error correcting output codes-support vector machine (ECOC-SVM) classifier for the early detection of the ITSC faults with a high degree of accuracy. Henceforth, the scope of the work includes:

- Extracting 15 regular statistical features from motor current signals under different operating conditions of the motor namely healthy and 6 different severities of ITSC faults in motor stator winding.
- Implementing an ECOC-SVM machine-learning based algorithm to detect fault classes of varying severity with adequate accuracy.

Entire study has been carried out following the work-flow diagram shown in Figure 1. Upon setting up a customized hardware setup, a series of experiments were performed under different operating conditions of the motor. First, three phase motor current signals were collected. Then, the captured current signals were reconstructed by removing the fundamental frequency (50 Hz) component with the help of DWT and inverse-DWT (IDWT). Statistical feature extractions followed by feeding of the extracted features to ECOC-

SVM machine learning classifier were subsequently performed to classify the different cases under study.

94% classification accuracy could be achieved through the proposed fault diagnosis method.

Figure 1. Work flow diagram of the proposed fault diagnosis method

3. METHOD

3.1. Arrangement of experimental setup to capture three phase motor current data

The whole experiment has been performed on a 2 hp, 320 V, 3-phase induction motor with customized star connected stator winding. The motor under study contains 6 coils and 360 turns per phase winding. Each of the three-phase winding was customized to implement different inter-turn fault conditions. Tappings from different turns of the customized windings were brought out to a patch board shown in Figure 2 and then were fitted to different terminals to artificially implement ITSC faults of different severity. The 3-phase induction motor was coupled with a DC generator feeding power to a group of lamp loads, to operate the motor at various load conditions. 3 single-phase auto transformers each capable to vary voltage from 0% to 125% were used in between the supply and the motor for keeping the 3-phase supply voltages to balanced condition irrespective of supply voltage fluctuations. A YOKOGAWA make 3-phase digital power meter was interfaced with the motor and a PC, for acquiring three phase motor current signals. A photograph of the experimental setup along with the component markers has been shown in Figure 2.

Figure 2. Photograph of the experimental setup

3.2. Theoretical background of discrete wavelet transforms

The wavelet transforms, an extension of the short-time fourier transform (STFT) is capable of analyzing a non-stationary signal in both time and frequency domain simultaneously with flexible mathematical substances. CWT and DWT are the two types of wavelets transforms which are frequently used as signal processing tool for fault diagnosis of induction motors [14], [15], [17], [38]-[40]. A brief theoretical background of DWT is discussed as following:

A signal $x(t)$ is convoluted with a mother wavelet function $y(t)$ to produce the coefficients of CWT as:

$$
W_c = \int_{-\infty}^{\infty} x(t) \overline{\psi(t)} dt = \langle x(t), \psi(t) \rangle
$$
 (1)

A time-scale decomposition of the signal $x(t)$ is obtained by a transformation process in which concept of scale is related to concept of frequency. However, the transformation process involves two parameters i.e. scaling parameter "a" and shifting parameter "b" of the mother wavelet function as (2).

$$
\psi_a(t) = \frac{1}{\sqrt{|a|}} \psi\left(\frac{t}{a}\right)
$$

$$
\psi_{a,b}(t) = \frac{1}{\sqrt{|a|}} \psi\left(\frac{t-b}{a}\right)
$$
 (2)

Therefore, the process defined by (1) is converted to the process defined by (3).

$$
W_{C_{\psi_{ab}}} = \int_{-\infty}^{\infty} x(t) \overline{\psi_{ab}(t)} dt = \langle x(t), \psi_{ab}(t) \rangle
$$

\n
$$
W_{C_{a,b}} = \frac{1}{\sqrt{|a|}} \int_{-\infty}^{\infty} x(t) \overline{\psi(\frac{t-b}{a})} dt
$$
\n(3)

The DWT is derived through sampling the scaling and shifting parameters of CWT as shown in (4), which is also known as dyadic discretization method where the parameters time (t), scale (a) and shifting (b) are considered in their discrete versions n, j and k, respectively. However, the continuous variables a and b are converted into discrete variables in form of a= 2^{j} and b=k 2^{j} , where j ϵ N and k ϵ Z [15].

$$
\psi_{(j,k)}(n) = \frac{1}{\sqrt{2^j}} \psi\left(\frac{n-2^j k}{2^j}\right)
$$
\n(4)

Therefore, the continuous wavelet process described in (3) is converted into discrete wavelet process as (5).

$$
W_{C_{\psi_{j,k}}} = \sum_{n} x(n) \psi_{j,k}(n) \mathbf{q}
$$

\n
$$
W_{C_{j,k}} = \sum_{n} x(n) \overline{\psi_j(n-2^j k)} \mathbf{q}
$$
\n(5)

The DWT of a signal is implemented by following the guidelines of Mallat algorithm in which a bandpass filter bank is used [17]. According to the principle of Mallat algorithm, in the first level of decomposition, bandwidth of the original signal is halved after passing through a low pass and a high pass filter. In this process, the original signal is decomposed into two signals known as low pass approximate coefficients (AC_1) and high pass detail coefficients (DC_1) . Then, AC_1 is decomposed into signals of approximate coefficients and detail coefficients at level 2 i.e. AC_2 and DC_2 by passing AC_1 through the same decomposition process as discussed above. However, the higher-level coefficients are obtained through further application of the decomposition process on approximate coefficient signals of corresponding level. But the maximum level of decomposition is restricted to the sample length of the original signal because in each decomposition level, the sample length gets reduced to half of the input sample size. From (6), it can be observed that overall bandwidth of the signal under transformation is divided in exact powers of two along time. However, as per Nyquist theorem, bandwidth of the signal is less than or equal to half the sampling frequency (fs). Therefore, bandwidth of the approximate and detail coefficients at an analysis level L can be related to the sampling frequency (f_s) as shown in (6).

$$
AC_L \Rightarrow \left[0, \frac{f_s}{2^{L+1}}\right] \quad \text{and} \quad DC_L \Rightarrow \left[\frac{f_s}{2^{L+1}}, \frac{f_s}{2^L}\right] \tag{6}
$$

3.3. Formulation of statistical features

A set of quantified statistical values depicting the characteristics of the time series data was extracted from the reconstructed signals, and later was used as significant features for the fault's classification purpose. In the current study, 15 conventional statistical features were extracted from the threephase reconstructed current signals. The mathematical formulae of the used statistical features have been listed in Table 1. Let, x_i is the ith data sample of a single-cycle-single-phase current vector (x) consisting N number of data samples, and *i*=1, 2, 3, …, N.

3.4. Theoretical background of ECOC-SVM

For two-class (binary) classification problems, machine learning techniques namely logistic regression and SVM, are widely used [41]. However, most of the real-life problems are multi-class problems. Currently, a multi-class classification problem is performed by segmenting the problem into a number of binary problems followed by integration of these binary problems. ECOC [42] is one of such methods which are extensively used for multi-class classification problems. In this approach, a *k*-class classification problem is converted into a larger number (*L*) of 2-class problems. A unique code word is assigned to each class instead of a class label which is used in other conventional machine learning algorithms. An ECOC that is *L* bit long has unique code words, *C* and Hamming distance, *d*. In general, ECOC is a coding matrix whose elements are $\overline{0}$ and 1. Rows of the matrix represent the class number \overline{q} of the samples and columns represent the number of classifiers (*s*) to be trained. In training phase of ECOC, any element of the coding matrix, *Mqs* equals 1 indicates that the corresponding sample is positive for *q*-th class and *s*-th classifier. And, *Mqs* equals 0 indicates that the sample is negative for q-th class and s-th classifier. All the classifiers $f(x) = (f_1(x), f_2(x), \ldots, f_s(x))$ are trained according to this principle. To classify a new sample *X*, first, the distances between output and class vectors are measured. Then, class with minimum distance is considered to be the classification result which is obtained as (7).

$$
Z = \underset{q= [1,2,...,q]}{\arg \min} (d(M_q, f(X))
$$
 (7)

Where, *Z* is the class of *X* and *d* is the Hamming distance which is calculated as (8).

$$
d\left(M_q, f(x)\right) = \sum_{s=1}^{S} \frac{|2M_{qs} - sgn(f_s) - 1|}{2} \tag{8}
$$

The length, *L* is decided by the method used for generating error-correcting codes. Over the years, various methods like Hadamard-matrix codes, BCH codes, random codes, exhaustive codes, continuous coding, and expectation maximization coding, are proposed. For a *k*-class problem, *L* must follow $\log_2 k < L \leq 2^{k-1} - 1$.

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In the present work, the multiclass classification problem has been carried out by the ECOC-SVM classifier that uses combination of ECOC and multiple binary SVM learners. The upper limit of the generalization error for ECOC-SVM has been reported as:

$$
\frac{130R^3}{m}(D\log_2(4em)\log_2(16m) + \log_2\frac{2(2m)^M MNC!}{\delta} \tag{9}
$$

where,

N: number of codes with coding length L and HD between codes

D: $\sum_{i=1}^{L} \frac{1}{n^{i}}$ $\frac{1}{i} = 1 \frac{1}{\gamma_i^2}$

R: minimum radius of enclosure ball

M: $L - \frac{d-1}{2}$

2 C: Number of code words of each group

While deriving this upper limit of error it was assumed that *m* samples would be suitably classified by *k*-class ECOC SVMs with probability at least $I - \delta$. The arranged SVM classification intervals have been represented by $\gamma_1, \gamma_2, \dots, \gamma_L$. It is to be noted that with fixed *L* and *d*, there exists an optimal allocation order for code words that promises best generalization ability of the ECOC-SVM.

4. RESULTS AND DISCUSSION

4.1. Data acquisition and preprocessing of the current signals

Upon development of the customized hardware setup, a series of experiments were conducted to capture 3-phase line currents under different operating conditions of the motor. All the experiments were carried out at balanced 3-phase supply voltage with ±0.5% tolerance limit. Data acquisition were carried out for healthy and 6 different aforementioned ITSC fault conditions by connecting at a time only one shortcircuiting link between two taps involving 1, 2, 3, 4, 5, and 6 turns (T1, T2, …, T6) in R-phase windings of stator. Five different load levels i.e. no-load, 25%, 50%, 75%, and 100% of full load could be achieved, and they have been represented as 0L, 1L, 2L, 3L, and 4L, respectively, in the subsequent sections of the manuscript. All the case-studies along with their identifiers have been listed in Table 2.

Table 2. Case studies along with their identifiers

	Healthy	T1 T2 T3		T4	T5	ፐ6
0 _L	H OL				T1 0L T2 0L T3 0L T4 0L T5 0L T6 0L	
1L.		H 1L T1 1L T2 1L T3 1L T4 1L T5 1L T6 1L				
2L	H 2L				T1 2L T2 2L T3 2L T4 2L T5 2L T6 2L	
3L.	H 3L				T1 3L T2 3L T3 3L T4 3L T5 3L T6 3L	
4L	H 4L				T1 4L T2 4L T3 4L T4 4L T5 4L T6 4L	

At the initial stage of current data collection, multiple observations were repeated corresponding to each operating condition in order to rule out any possibilities of misleading the proposed algorithm due to superfluous effect of noise and momentary problems in data acquisition process. Motor line currents were captured at 20 kHz sampling rate, deploying 3-phase digital power meter which is capable to display RMS values of the signals along with the provision to capture corresponding signals at a given sampling frequency. After capturing current data at all experimental conditions, the current signals were normalized with respect to the peak value of corresponding phase currents obtained at H_0L condition. Later, one complete cycle comprising approximately 400 sampled data points for each of the normalized three-phase current signals were selected and were considered for further data analysis process. Few exemplary waveforms of three phase currents have been shown in Figure 3, waveforms obtained at H_0L and 6T_0L have been presented in Figures 3(a) and 3(b), respectively. It may be observed that due to appearance of fault in stator windings, the motor current signatures distort from usual sinusoidal shapes. However, these changes are difficult to figure out in open eyes when operating condition changes from healthy or some fault level to other fault condition involving nominal number of turns available in the scope of the present study.

4.2. Reconstruction of raw 3-phase current data using DWT and inverse-DWT

It is quite evident that if fault occurs, the three-phase currents become unbalanced. Merely by visually inspecting the graphs of the current signals, it is difficult to differentiate between faulty and healthy cases. Thus, additional analysis of current signals is required to detect the faults accurately. First, the 50 Hz frequency (fundamental frequency) components of the three phase current signals were eliminated because

they do not have any role to play in detecting fault condition of the motor [3]. In this process captured signals sampled at 20 kHz frequency have been decomposed up to seven levels using DWT which is a multiresolution signal analysis tool. Then, reconstruction of the same signal without 50 Hz frequency component has been implemented using IDWT. Debauches wavelet-2 (Db2 in MATLAB) has been used as mother wavelet in DWT. Exemplary reconstructed R, Y, and B-phase current signals have been plotted and shown in Figure 4. Figure 4(a) represents the reconstructed waveform of R-phase currents obtained at H_0L, 2T_0L and 4T_0L conditions, where as Figures 4(b) and 4(c) represent the Y and B-phase reconstructed waveforms of the same conditions. Significant changes in magnitude and shape of the reconstructed phase current waveforms may be noted due to change in fault conditions. Thus, the reconstructed signals may carry potential information related to fault or operating condition of the motor.

Figure 3. 3-phase current waveforms at (a) H_0L condition and (b) 6T_0L condition

Figure 4. Reconstructed: (a) R-phase, (b) Y-phase, and (c) B-phase current waveforms obtained at H_0L, 2T_0L and 4T_0L conditions

4.3. Statistical feature extraction

In the current study, 15 conventional statistical features were extracted from each of the three-phase reconstructed current signals. In total 45 features were extracted. Most of these features were found to have reasonable variance with change of the operating conditions from healthy to highest possible fault condition under the scope of the present study. Change in motor load has also introduced significant effect on the feature values. Variations of few exemplary features at different motor operating conditions have been presented in Figure 5. Figure 5(a) shows the bar plot of mean (μ) values of R-phase reconstructed currents under different operating condition of the motor, and it may be observed that the value of the respective μ does not coincide much with varying level of fault under different load conditions. Figure 5(b) represents bar plot of shape factor (*sf*) values extracted from Y-phase reconstructed current. Significant variation of the *sf* values could be observed corresponding to varying operating conditions of the motor under different load conditions. So, *sf* may be considered as a potential feature for the detection of the faults. However, a definite pattern in change of the *sf* values could not be derived. Figure 5(c) represents bar plot of kurtosis (kurt) values extracted from B-phase reconstructed current signals. The feature, kurt seems to be as good as *sf*, and was considered as an important feature. After a close observation on all extracted features, it could be noted that all the features are pertinent and include information about the operating condition of the motor. Besides, a large feature set facilitates machine learning algorithm to be trained effectively to make it more robust.

Figure 5. Bar plots of statistical features obtained at different case studies e.g.: (a) μ values of R-phase reconstructed currents, (b) sf values of Y-phase reconstructed currents, and (c) kurt values of B-phase reconstructed currents different case studies

4.4. Classification of faults using ECOC-SVM classifier

Extracted statistical features were used to model the machine learning algorithm involving ECOC aided by SVM, implemented in MATLAB platform. 7 operating cases mentioned in section 4.1, were considered in this study. Each operating case was carried out at five different loading conditions. Each experiment was repeated 4 times. Hence, in total there were $(7\times5\times4=140)$ 140 observations. All the 140 observations were used for training and testing phases of ECOC-SVM classifier. Out of that, 70% of observations were taken for training, and 30% of observations were used for testing purposes. During testing phase, the trained model exhibited reasonably good fault detection accuracy. The result obtained from the proposed classifier model has been presented in form of a confusion matrix [3], shown in Figure 6. Here, in the confusion matrix, the correct classifications have been shown by blue boxes, and the miss-classifications are shown by pink boxes. It may be noted that approximately 94% classification accuracy could be achieved.

Figure 6. Confusion matrix obtained from testing phase of ECOC-SVM

5. CONCLUSION

Detection of minor staged varying severity of ITSC faults at varying loads has always been a tough task. However, the findings of the current study have successfully established a computationally simple yet highly accurate fault-diagnosis method for the early detection of different severity of ITSC faults in a particular phase of motor stator winding. The ability of the proposed technique to accurately detect ITSC faults involving very few numbers of turns, i.e., minimum 0.28% of total turns in a phase winding, makes it unique. The proposed method also established the detection of ITSC faults under varying load levels which makes the fault diagnosis technique load independent. Moreover, normalization of the 3-phase currents at the initial stage of the analyses makes the extracted statistical features machine independent which in-turn ensures the acceptability of the proposed method in condition monitoring of industry-graded 3-phase induction motors. Most importantly, the achieved 94% classification accuracy by the proposed method while classifying different severity of ITSC faults is highly satisfactory. Hence, all these facts strengthen the acceptability of the proposed technique in fault diagnosis of induction motors with different ratings even under different stresses in industrial environment. However, the current study may be expanded by considering varying severity of inter-turn short circuit faults with unbalanced supply voltage and also for the partial insulation faults which provide identical motor line currents obtained in case of ITSC faults. The proposed research work may also be extended to identify the severity of multiple faults that may occur simultaneously in induction motor.

ACKNOWLEDGEMENTS

The authors would like to acknowledge DST-SERB (grant number: SB/S3/EECE/0172/2013) and AICTE-MODROB (grant number: F.NO:9-25/RIFD/MODROB/Policy-1/2017-18) for funding the current study.

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