Local image fitting-based active contour for vector-valued images

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ABSTRACT

Variational active contour seeks to segment or extract desired object boundaries for further analysis. The model can be divided into global segmentation and selective segmentation. Selective segmentation, which focuses on segmenting a particular object, is preferable to the global model. Recently, a number of selective segmentation models have been developed to precisely extract an object on grayscale images. Nevertheless, if the input image is vector-valued (colour), these models merely convert it to a grayscale image, resulting in data loss owing to the reduction in image dimension. Furthermore, they may have poor segmentation performance due to the intensity inhomogeneous images. Therefore, a new model on variational selective active contour for segmenting vector-valued images has been proposed that incorporates the concepts of local image fitting and distancebased fitting terms into a variational minimization energy functional. Moreover, a Gaussian function was used as a regularizer to replace the computationally expensive Total Variation term. Then, the proposed model's Euler Lagrange equation has been provided to solve the model. When segmenting an object in inhomogeneous intensity images, the result of the proposed model was about 30% more accurate based on the Jaccard value and about 3 times faster than other existing methods.

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1. INTRODUCTION

Image segmentation is one of the most complex and vital mathematical problems in image processing that is beneficial in many applications such as medical image analysis, biometric identification, object detection and classification [1]-[7]. Several segmentation approaches that have been established including learning-based approaches (machine and deep learning) and active contour method (ACM). The learning-based approaches although effective however required a huge amount of data which is not always available. For small amounts of data, they are less accurate. On the other hand, the ACM which is independent of amount of data is a variational mathematical model that has been ascertained as the most successful segmentation approach. Two

types of ACM exist: global segmentation and selective segmentation. Global segmentation requires the segmentation of the boundaries of all objects within an image. In contrast, selective segmentation extracts only the required object according to predetermined geometric constraints from an input image [2]-[3].

The C-V model by Chan and Vese [8] is the most widely used region-based global ACM. However, this C-V model is only applicable to grayscale images. This led Chan *et al.* [9] to propose the chan-sandberg-vese (CSV) model, a vector-valued image segmentation model based on active contours that able to produce better results as more information is included. Despite this, the use of global image information in both C-V and CSV models makes them difficult to segment intensity inhomogeneous images. Intensity inhomogeneity refers to the presence of smooth variations in intensity across different regions of an image [10], arises due to the data acquisition process and external factors such as imperfection in the camera, inconsistencies in ambient daylight and artificial lighting. This problem poses difficulties during the image segmentation process, especially using an ACM that depends on the intensity homogeneity of the desired object in an image. In order to encounter the intensity inhomogeneity problem, Zhang *et al.* [11] proposed a new model namely the local image fitting (LIF) approach, which relies on the disparities between the original and the local fitting images in order to extract the local image information from a grayscale image. In addition, they also introduced a Gaussian function for variational level set regularization to speed up the processing time. Since then, many researchers have used the LIF and Gaussian function as the regularizer in segmenting intensity inhomogeneous images [12]-[16].

All the approaches described above are immensely useful for tasks requiring the segmentation of all features in a single image. Thus, they are inapplicable in the case of selective segmentation. This study is concerned with the selective segmentation type to segment vector-valued or colour images. The integration of this method with medical imaging disciplines [17]-[18], biometric authentication [19] and text processing [20] has its own significant potential. Nguyen *et al.* [21] introduced a robust interactive selective image segmentation model for vector-valued images that is state-of-the-art. We referred to this model as interactive image segment the desired objects in an input image (foreground and background strokes). The implementation results have proven that their model was superior to other interactive state-of-the-art segmentation models that were stated in the paper. However, the model may give unsatisfactory result in segmenting fine shape.

Recently, another selective type of variational ACM termed distance selective segmentation 2 (DSS2) model was proposed by Ghani and Jumaat [22]. A distance function with only a single geometrical constraint was used in the formulation of the DSS2 model with promising results for colour or vector-valued images. However, the DSS2 model has a high computational cost because of the existence of total variation (TV) term in the formulation. In addition, the DSS2 model is not designed to segment a targeted object in vector-valued images having intensity inhomogeneity.

Thus, this paper aims to develop a new model on variational selective active contour to segment vector-valued images with intensity inhomogeneity efficiently. The subsequent section of this study provides the methodology where it describes the suggested model's formulation and its solution by considering the local image fitting energy and distance function with Gaussian regularizer in the new models's formulation. Following that, the experimental results and discussion are provided in section 3 before concluding in section 4.

2. METHOD

The idea of formulating the new model is achieved by integrating the LIF model [11] and distance function of DSS2 model [22] into a variational minimization energy functional. Furthermore, a Gaussian function is proposed as a regularizer to replace the computationally expensive TV term. The methodology of the proposed model will be explained based on the flow chart in Figure 1.



Figure 1. Methodology of the proposed model

Based on Figure 1, the LIF energy and distance function (DF) fitting term of an input image are computed. After that, a total energy minimization functional that represents the new model is formulated to segment the input image by integrating the LIF and DF energies. Next, the Euler Lagrange equation is derived from the total energy minimization functional to obtain the segmented output image. In the next subsection, each step is explained in detail.

2.1. Input image

This research involves the segmentation of test images which consist of a combination of synthetic, natural and medical images obtained from various image database in [23]-[29]. Their groundtruths which contained the segmented regions were obtained from the same database. The test images were vector-valued images with intensity inhomogeneity to test the ability of the proposed model.

2.2. Local image fitting

Zhang *et al.* [11] invented LIF model to effectively segmenting image with intensity inhomogeneity. Assume that for an image u = u(x, y) in a domain Ω , the regularized local image fitting energy function in the level set formulation E_{ε}^{LIF} is defined as follows:

$$E_{\varepsilon}^{LIF}(\phi) = \frac{1}{2} \int_{\Omega} \left| u - \left(n_1 H_{\varepsilon}(\phi) + n_2 \left(1 - H_{\varepsilon}(\phi) \right) \right) \right|^2 dx dy$$
(1)

where $H_{\varepsilon}(\phi)$ represents the Heaviside function such that $H_{\varepsilon}(\phi) = 0.5(1 + (2/\pi)arctan(\phi/\varepsilon))$ while n_1 and n_2 are the averages intensity of the interior and exterior in a local region. In this research, we extend the formulation in (1) into vector-valued framework to process colour images. Thus, the (1) can be transformed into the following new (2).

$$E_{\varepsilon}^{LIF}(\phi) = \frac{1}{2} \int_{\Omega} \frac{1}{N} \sum_{i=1}^{N} \left[u^{i} - \left(n_{1}^{i} H_{\varepsilon}(\phi) + n_{2}^{i} \left(1 - H_{\varepsilon}(\phi) \right) \right)^{2} dx dy \right]$$
(2)

Here, $u^i = u^i(x, y)$ is the *i*th channel of a colour image on Ω with i = 1, 2, ..., N channels. For the vector valued (colour) image, the value of N is three. The functions $n_1^i = (n_1^1, ..., n_1^N)$ and $n_2^i = (n_2^1, ..., n_2^N)$ are the intensity averages of interior and exterior in a local region that could be expressed in the following way:

$$n_{1}^{i}(\phi) = mean\left(u^{i} \in \left(\left\{(x, y) \in \Omega | \phi(x, y) > 0\right\} \cap K_{\sigma}(x, y)\right)\right)$$

$$n_{2}^{i}(\phi) = mean\left(u^{i} \in \left(\left\{(x, y) \in \Omega | \phi(x, y) < 0\right\} \cap K_{\sigma}(x, y)\right)\right)$$
(3)

here, a truncated Gaussian window $K_{\sigma}(x, y) = e^{-(x^2+y^2)/2\sigma^2}$ is used with a standard deviation σ and a size of $(4\omega + 1) \times (4\omega + 1)$, where ω is the greatest integer smaller than σ . Thus, in (3) which contains local image information is vital to segment intensity inhomogeneous images, where the global image information will ignore the small or local features of an image [30].

2.3. Distance function

The distance selective segmentation 2 (DDS2) model is a selective type of variational ACM for vector-valued images that was proposed by Ghani and Jumaat [22]. The DSS2 model integrated the idea of the distance function as a fitting term with the formulation of the CSV model [9]. Assume that on an image u = u(x, y), there are m_1 geometrical points that must be near to the desired object boundary and form a marker set *B* to be inputted by the user as follows:

$$B = \{w_j = (x_j^*, y_j^*) \in \Omega, 1 \le j \le m_1\} \subset \Omega$$

$$\tag{4}$$

where $m_1 \ge 3$ will connect the markers and construct an initial polygon *G* that drives its evolution towards a curve Γ . Then, the distance function $F_d(x, y)$ of point $(x, y) \in \Omega$ from $(x_g, y_g) \in G$ that can assist in capturing a targeted object in the segmentation process is given as (5).

$$F_d(x,y) = \sqrt{(x-x_g)^2 + (y-y_g)^2} = \min_{g \in G} \left| \left| (x,y) - (x_g,y_g) \right| \right|.$$
(5)

2.4. Total energy functional and euler lagrange equation

This study aims to develop a model that can selectively segment the vector-valued images having inhomogeneous intensity. As a result, we proposed a new selective segmentation model called the selective

local image fitting (SLIF) model. To achieve this, we incorporated the idea of the distance fitting term of the DSS2 model with the local image fitting energy of the LIF model into a variational energy functional in a vector-valued framework. Thus, the following (6) in level set framework [31]-[33] defined the SLIF model (6).

$$\min_{\phi} \left\{ E_{\varepsilon}^{SLIF}(\phi) = \frac{1}{2} \int_{\Omega} \frac{1}{N} \sum_{i=1}^{N} \left(u^{i} - n_{1}^{i} H_{\varepsilon}(\phi) - n_{2}^{i} (1 - H_{\varepsilon}(\phi)) \right)^{2} dx dy + \int_{\Omega} \theta H_{\varepsilon}(\phi) F_{d}(x, y) dx dy \right\}$$
(6)

Here, the segmentation curve $\Gamma = \{(x, y) \in \Omega | \phi(x, y) = 0\}$ and $u^i = u^i(x, y)$ is the i^{th} channel of an original image on Ω with i = 1, 2, ..., N channels. In this research, the value of N is three which represents the vector valued (RGB) image. To solve the proposed model, the Euler Lagrange equation is derived defined as follows:

$$-\delta_{\varepsilon}(\phi) \left[\frac{1}{N} \sum_{i=1}^{N} \left(u^{i} - n_{1}^{i} H_{\varepsilon}(\phi) - n_{2}^{i} (1 - H_{\varepsilon}(\phi)) \right) \left(n_{1}^{i} - n_{2}^{i} \right) - \theta F_{d} \right] = 0$$

$$\tag{7}$$

the popular gradient descent method [34]-[36] is used to solve (7) numerically. Then, we obtain the following gradient descent flow:

$$\frac{\partial \phi}{\partial t} = -\frac{\partial E_{\varepsilon}^{SLIF}}{\partial \phi} = \delta_{\varepsilon}(\phi) \left[\frac{1}{N} \sum_{i=1}^{N} \left(u^{i} - n_{1}^{i} H_{\varepsilon}(\phi) - n_{2}^{i} (1 - H_{\varepsilon}(\phi)) \right) \left(n_{1}^{i} - n_{2}^{i} \right) - \theta F_{d} \right]$$
(8)

to ensure smoothness of segmentation curve, we convolve the result from (8) with a Gaussian function $G_{\varsigma} = e^{-(x^2+y^2)/2\varsigma^2}$, with standard deviation ς . This technique is less computational expensive than the traditional TV term.

2.5. Algorithm to implement the SLIF model

In this study, MATLAB R2018a was used to run the experiments. The central processing unit (CPU) processor used was an Intel Core i5-8250U CPU running at 1.60 GHz with 8GB of installed memory (RAM). Two stopping criteria were used to stop the program automatically: a tolerance value tol = 0.005 and the maximum number of iterations, maxit = 3000. The implementation process for our SLIF model is depicted in the following Algorithm 1.

Algorithm 1. Algorithm for SLIF model

1. Set the value of parameters $\theta, \sigma, \text{and } \varepsilon$.

2. Define the marker set C and determine $F_d(x,y)$ using Equation (5).

- 3. Initialize ϕ^0 (when m=0) such that Γ is the boundary of G.
- 4. Compute $n_1^i({m \phi}^m)$ and $n_2^i({m \phi}^m)$ using equation (3).
- 5. Evolve ϕ based on equation (8) to get $\phi^{m+1}.$

6. Regularize ϕ by convolving ϕ^{m+1} with a Gaussian function G_{ς} .

7. If $\frac{\|\phi^{m+1}-\phi^m\|}{\|\phi^m\|} \leq tol$ or maximum number of iteration (*maxit*) reached 3000, then stop the program. Otherwise, return to step 4.

3. RESULTS AND DISCUSSION

Three experiments were carried out. Firstly, we compared our proposed model, namely the SLIF model, with the DSS2 model by Ghani and Jumaat [22] in terms of segmentation accuracy and efficiency. Then, we are interested in comparing the accuracy of segmentation of our SLIF model with the IIS model by [21], which is considered a cutting-edge selective segmentation model for segmenting vector-valued images. Lastly, we performed the parameter sensitivity analysis on our SLIF model.

The accuracy of our proposed model was evaluated by visual observation as well as by dice similarity coefficient (DSC) and jaccard similarity coefficient (JSC). The DSC and JSC values are graded on a scale from 0 to 1, with 0 indicating that there is no overlap between the two data sets and 1 indicating that there is a complete congruence [37]. Meanwhile, the efficiency of the SLIF model was determined by utilizing the tic and toc built-in functions in MATLAB to measure the time processing for both models. We repeated the experiments three times and the average time processing $\overline{\tau}$ was determined to guarantee the consistency of the results. We set the parameters $\varepsilon = 1$, $\varsigma = 0.45$, $\theta = [5,1500]$, $\sigma = [5,25]$, the mask of the Gaussian function is 5×5 , the size of test images is 128×128 pixels, the maximum iteration to 3000 and the value of tolerance *tol* to 0.005.

3.1. Experiment 1: Comparison of the SLIF model with the DSS2 model

In this Experiment 1, we were compared the performance of the proposed SLIF model with the existing DSS2 model. There were 14 test images used in this experiment. The test images with markers and the associated results generated by the DSS2 model and the proposed SLIF model are shown in Table 1.



Based on Table 1, the images in the first and fourth column were test images with initial yellow markers to indicate the selected object that needs to be segmented. They were medical test images in Table 1(1a-8a), natural test images in Table 1(9a-10a) and synthetic test images in Table 1(11a-14a). The images in the second column of Table 1 (1b-7b) and fifth column of Table 1(8b-14b) were the segmentation results for the SLIF model in binary form. The segmentation output for DSS2 model were displayed in the third column of Table 1(1c-7c) and the last column of Table 1(8c-14c).

By visual observation, all the targeted objects could be segmented well by our SLIF model indicated in Table 1(1b-14b) compared to the DSS2 model which are indicated in Table 1(1c-14c). The DSS2 model unable to capture some important features especially for test images in Table 1(11a-14a) where the respective segmented results of the DSS2 model as shown in Table 1(11c-14c) are incomplete. This is not the case for the proposed SLIF model where it capable to capture the shapes of the targeted objects successfully as indicated in Table 1(11b-14b).

Even though the DSS2 model has a constraint distance function defined in the formulation to capture only the targeted object, there is no local intensity information contained in the DSS2 model, which is vital for the segmentation of images with inhomogeneous intensities. The optimum intensity constants in the DSS2 formulation that approximates the global average of inner and outer intensities of the contour Γ could be very different from the original image u^i if the intensities within the internal and exterior of the contour Γ are not homogeneous. As a result, the DSS2 model cannot segment intensity inhomogeneous vector-valued images.

For our SLIF model, the extraction of intensity information from the local region was used to govern the motion of the contour Γ and keep it within the borders of the targeted object. The local averages n_1^i and n_2^i in the SLIF formulation locally approximated the average inner and outer intensities of the contour Γ in a Gaussian window, which is more effective than the global averages intensity constants used in the DSS2 model to cope with inhomogeneous intensity images. In addition to visual observation, the average of segmentation accuracy and efficiency between the SLIF and DSS2 models were provided as well as shown in Table 2.

Table 2. Comparison of average accuracy and efficiency between the SLIF and DSS2 models

SLIF Model			DSS2 Model				
DSC	JSC	$\overline{\tau}$	Iteration	DSC	JSC	$\overline{\tau}$	Iteration
0.94	0.90	3.07	94	0.81	0.69	9.68	201

Based on Table 2, we can see that the average DSC and JSC values for our SLIF model were 0.94 and 0.90, respectively, which were about 16% and 30% higher than the DSS2 model respectively. Additionally, the SLIF model only required about 3.07 seconds to converge, which was about three times faster than the DSS2 model. Our SLIF model also only needs a small number of iterations to converge than the DSS2 model.

The DSS2 model has a TV term, which is difficult to solve numerically. However, our SLIF model utilized a Gaussian function to replace the traditional TV term in the level set regularization which makes the segmentation process faster. Overall, we may conclude that our SLIF outperformed the DSS2 model in terms of accuracy and efficiency in segmenting the region of interest (ROI) on the test images with intensity inhomogeneity.

3.2. Experiment 2: Comparison of the SLIF model with the IIS model

In this Experiment 2, we were interested in investigating the accuracy of our SLIF model by comparing it with one of the state-of-the-art models, which was the IIS model by Nguyen *et al.* [21]. There were seven test images used in this experiment. The test images with markers and the correlated results generated by the IIS model and the proposed SLIF model are shown in Table 3.



Based on Table 3, the test images were synthetic images as shown in Table 3(15a-16a), medical images in Table 3(17a-18a) and natural images in Table 3(19a-21a). The yellow marker sets used by the proposed SLIF model were indicated in the first columns of Table 3(15a-21a) while the third column of Table 3(15c-21c) indicated the IIS model's foreground (red) and background (blue) labels regions with two types of markers, which are different from a marker set used in our SLIF model. To keep the comparison fair, we place both models' foreground markers inside or relatively close to the targeted object, while the IIS model's background marker is placed outside the targeted object. The generated results for the SLIF model were demonstrated in the second column of Table 3(15b-21b) while the results delivered by the IIS model were displayed in the last column of Table 3(15d-21d).

Visual inspection shows that the IIS model performs just as well as our SLIF model in almost all problems. We recognize that the IIS model produces promising results when segmenting objects with intensity inhomogeneity. Nonetheless, there are a few circumstances where the IIS model could not segment appropriately where we found that the IIS model could not segment the jagged part of the targeted object for test images 16a and 19a clearly and concisely. The main reason is because the model is a hard segment the targeted object to be segmented is smooth. Therefore, the IIS model cannot cleanly segment the targeted object with sophisticated shapes. In our SLIF model, the inclusion of the constructed polygon's Euclidean distance in the SLIF formulation is meant to confine the solution by enclosing values associated with a polygon region generated by the initial markers within the targeted object. As a result, the SLIF model outperforms the IIS model for test images 16a and 19a. The average segmentation accuracy between the SLIF and IIS models on the tested images is tabulated in Table 4.

Table 4 shows that the SLIF model's average DSC and JSC values were slightly higher than the IIS model. As a result, we can conclude that the segmentation accuracy of our SLIF model is comparable to that of the IIS model. We clarify that the interactive software provided by Nguyen *et al.* [21] lacks a time recording tool for implementing their IIS model. Thus, the time processing comparisons with our proposed models are not possible.

Table 4. Comparison of a	accuracy between the	SLIF and IIS	models
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SLIF I	Model	IIS Model		
DSC	JSC	DSC	JSC	
0.96	0.92	0.95	0.90	

3.3. Experiment 3: Parameter sensitivity analysis

In this Experiment 3, we shall test the parameter sensitivity for our SLIF model. We focus on the area parameter θ which is the most important parameter that influence the segmentation result significantly. The main limitation of our proposed SLIF model is that this parameter must be manually tuned through trial and error in order to obtain meaningful and effective segmentation results. Table 5 illustrates the segmentation results of a test image with different parameters θ .

Table 5. Segmentation results with different values of parameter θ using the SLIF model



The test image with markers located at the targeted region which is only the head of the large sperm was displayed in the first column of Table 5. In the second column, the values of θ were varied to test the effect on the segmentation results generated by the proposed SLIF model where we test with three values of $\theta = 1,200$ and 2000. The respected segmentation results were demonstrated in the last column of Table 5. As shown in Table 5, the segmentation result would be over-segmented if the parameter θ was too small ($\theta = 1$). As we can see, the tail of the targeted sperm and other nearby objects were segmented too. Meanwhile, the segmentation result could be just an undesirable polygon if the parameter θ was too large ($\theta = 2000$). The successful segmentation result was obtained if the parameter $\theta = 200$. Thus, to achieve an accurate segmentation result, the parameter must be determined by trial and error.

4. CONCLUSION

The primary purpose of this research is to segment a targeted object selectively in vector-valued images with intensity inhomogeneity using a variational active contour approach. As a result, we developed a new model called the SLIF model, which is formulated by incorporating the idea of the distance fitting term, local image fitting energy and Gaussian regularization term into a variational energy functional. In addition, we provided the proposed active contour model's Euler Lagrange equation to solve the SLIF model. Numerical experimentations demonstrated that the proposed SLIF model successfully segmented the object of interest in vector-valued images with better segmentation results compared to the existing models. The limitation of our proposed SLIF model is that the area parameter θ must be manually modified through trial and error to achieve significant and effective segmentation results. In the future, we will extend the work on formulating a convex 3-dimensional active contour model for both grayscale and vector-valued digital images.

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