

## An overview of traffic congestion detection and classification techniques in VANET

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### ABSTRACT

Vehicular traffic congestion has been and still is a major problem for many countries and knowledge about the traffic condition is important in order to schedule, plan and avoid traffic congestion. With recent development in technology, various efforts and methods are proposed in mitigating traffic congestion. Vehicular Ad-hoc NETWORK (VANET) is very much in the hype in addressing this issue due to its capabilities and adaptation to scalability, highly dynamic topology as well as cooperative communication. A popular focus is in detecting and classifying traffic congestion which presents various techniques and methodologies. This paper presents an overview of traffic congestion detection and classification methods of various related techniques in VANET, organized from the research perspective. Qualitative analysis is presented to classify these strategies in its system architecture, detection and classification methods, as well as its simulated mobility environment and simulation tools used. The analysis is useful in understanding all the techniques and methods applied in resolving this issue in the research domain.

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## 1. INTRODUCTION

For the last decades, traffic congestion has caused significant impact in modern society. Despite the measures taken to reduce the impact it bestowed, traffic congestion is affecting the environment, health, and crippling the economy of many cities around the world in so many different levels. Generally, there are many factors that can cause traffic to congest, and it could be caused by either recurring factors such as insufficient capacity, unrestrained demand or ineffective management of capacity or caused by non-recurring factors such as incidents, construction work zones, bad weather or emergencies [1]. To this effect, the world is witnessing the rise in transportation as well as urban technology researches in addressing this issue. Some are focusing on detecting and classifying traffic congestion in order to provide timely and accurate information to vehicular drivers and transport authorities to take action. While many researches are utilizing on image and video analytics such as through satellites views, surveillance cameras, on-line images, there's a hype in researches utilizing VANET.

Vehicular communications have been extensively researched with the aim of enabling vehicles to exchange information among themselves, also with the infrastructure. This enables the collection of vehicles' traffic data that then ratifies the road traffic characteristics, including speed, density, flow, and travel time. With the data collected, many researches have adopted various methodologies to among others; control congestion [2, 3], provide various applications such as safety alerts, resolving security issues, and many more. A particular focus includes mathematical and statistical algorithms, fuzzy logic, neural network, classifiers as well as utilizing the routing mechanism in VANET networks in detecting and classifying traffic congestion.

Classification of the severity of congestion levels is important to define the state of traffic congestion levels in order to avoid and mitigate traffic congestion. This classification and other traffic information approach often rely

on a traffic management system such as ITS that necessitates the overhead of space, transmission, and delay in its database processing. The dependency of a centralized system such as this would further lead to disseminating traffic alerts and information that may be irrelevant at a point of time of need; where rerouting is no longer possible. This would avert the driver to avoid traffic congestion, and thus boosting the congestion escalation. The premise of this overview is to analyze the techniques implemented in traffic congestion detection and classification in VANET. The contribution of this survey are: 1) An overview of the VANET system architecture; 2) A qualitative analysis that includes the chronological development of the methods, VANET system architecture, traffic congestion detection strategies, traffic congestion classification methods, mobility model scenario and the simulation tools used; 3) Diverse approaches to traffic congestion detection; 4) Diverse approaches to traffic congestion classification and 5) A review of the selection of simulation models and tools used for simulating VANET environment.

**2. VANET SYSTEM ARCHITECTURE**

VANETs is considered a key component of the Intelligent Transportation System (ITS) architecture [4, 5]. The communication specifications for VANET is defined by IEEE802.11p and IEEE1609 represent the most mature set of standards for wireless vehicular networks or also referred to as DSRC/WAVE networks. VANET’s main system components are the Application Unit (AU), the On-Board Unit (OBU) and the Road Side Unit (RSU) [6].

VANET systems is categorized as centralized, decentralized and hybrid; it is set upon its dependency of with or without the use of fixed infrastructure and a central server [7]. Centralized systems that operates on fixed infrastructure and a central server are difficult to scale and often have issues with cost and delay while decentralized systems that run without any fixed infrastructure and a central server still needs to conforms to the limitation of the network such as communication barriers, data redundancy, bandwidth capacity, network reliability and etc [8]. Nonetheless, many are balancing both approaches with the hybrid approach, often using RSU to compensate the limitations of network connectivity, and to improve in scalability, processing, routing and disseminating information in estimating the global traffic view [9-11]. This enables the technology to support communication between vehicles through vehicle to vehicle communication (V2V) or communication of vehicles with any RSUs for vehicle to infrastructure communication (V2I) [12-14].

**3. OVERVIEW OF VEHICULAR TRAFFIC CONGESTION DETECTION AND CLASSIFICATION TECHNIQUES IN VANET**

This section presents a qualitative analysis of vehicular traffic congestion detection and classification techniques in VANET found in references. Table 1 describes the proposed qualitative analysis organized in chronological order, system architecture, traffic congestion detection strategies, traffic congestion classification methods used in VANET, while Table 2 enlists the simulation mobility environment and simulation tools used in each technique.

Table 1. Qualitative analysis of vehicular traffic congestion detection and classification techniques

Year	Technique	System Architecture Design		Traffic Congestion Detection Strategy					Traffic Congestion Classification Method			
		Decentralized	Hybrid	Fuzzy Logic	ANN	Classifier	During Re-Routing Phase	Others	LOS (HCM)	ANN	K-NN Classifier	Other
2012	[15]		✓				✓		✓			
2013	[35]	✓		✓					✓			
2014	[38]	✓					✓					✓
2014	[20]	✓		✓					✓			
2015	[28]	✓			✓					✓		
2015	[32]		✓			✓			✓			
2015	[37]		✓					✓			✓	
2015	[16]		✓				✓				✓	
2016	[17]	✓					✓		✓			
2016	[33]		✓			✓			✓			
2016	[29]	✓			✓		✓			✓		
2016	[30]		✓			✓				✓		
2018	[21]			✓								✓
2019	[22]	✓		✓								✓
2019	[23]			✓								✓

Table 2. Simulation tools and mobility environment used in VANET simulations

Technique	Simulation Mobility Environment		Simulated Scenario	Simulation Tools Used							
	Urban	High-Way		SUMO	OMNet ++	ns2	ns3	iTETRIS	Veins	EMIT	Net-Logo
[15]	✓		Newark & Brooklyn	✓							
[35]		✓	SUMO Highway Scenario	✓			✓	✓			
[38]	✓		Madrid	✓	✓						
[20]	✓		Manhattan	✓	✓				✓		
[28]	✓		Manhattan	✓	✓				✓		
[32]	✓		Manhattan	✓						✓	
[37]	✓		Manhattan			✓					
[16]	✓		TAPAS Cologne, Germany	✓	✓				✓	✓	
[17]	✓		Manhattan	✓	✓				✓		
[33]	✓		Manhattan	✓						✓	
[29]	✓	✓	Manhattan & Dom Pedro I Freeway	✓	✓				✓	✓	
[30]	✓		Manhattan	✓	✓				✓	✓	
[21]	✓		Beijing				✓				
[22]			a two-way six-lane road	✓	✓				✓		
[23]			Simulation of one 2-way road								✓

### 3.1. Traffic congestion detection

The common traffic congestion detection strategies gathered from literature are being classified in four different strategies; 1) re-routing phase 2) fuzzy logic 3) artificial neural network and 4) classifiers.

#### 3.1.1. During the re-routing phase

Traffic detection during re-routing phase is the strategy used in Dynamic Shortest Path (DSP), Random k Shortest Paths (RkSP) and Entropy Balanced k Shortest Paths (EBkSP) by Pan et al. [15], Brennan et al. [16] and FASTER [17]. DSP, RkSP, and EBkSP are three traffic re-routing strategies that operate through V2I communication whereby the vehicle geographic position, speed, and direction are acquired to detect traffic congestion. In the first data collection and representation phase, a directed graph is used to represent the network in which intersections are represented by the vertices, the edges signify the road segments, and weights are the average travel time. From this representation, signs of congestion are detected through periodic checkings. The detection, however, does not happen in real time, rather in the next re-routing phase.

Similarly in [16], based on a set of distributed RSUs covering an urban area, traffic congestion is detected when it occurs within the RSU’s communication coverage. This strategy is solely dependent on V2I communication in optimizing the traffic flow. Data collection from every vehicle within the communication range consisting of the vehicle’s ID, speed, direction, position and travel time through the route are gathered at the RSU via LTE or 3G single-hop, long-range communication [18]. FASTER differ from the above techniques in its communication design, in which FASTER only relies on V2V communication instead of V2I using RSUs. Instead of aggregating data within the RSU’s communication range, FASTER creates smaller areas called districts in order to identify the traffic condition in those districts. Periodically, each vehicle will convey their individual traffic information through beacons to neighbouring vehicles within the same district. An overall traffic knowledge is achieved in the dissemination of each district’s knowledge to other districts as in the exchanges of information by the vehicles.

#### 3.1.2. Fuzzy logic

Fuzzy logic is also a popular mechanism used in VANET. Besides been utilized in detecting traffic congestion as in COTEC [19], CARTIM [20], Rui et.al [21], and most recently Wang et.al [22] and TraD-VANET [23] it is also implemented in localization, clustering, beaconing dissemination, aggregation, and other processes in VANET [24-27]. The fuzzy mechanism detects traffic congestion based on vehicular speed and traffic density. The local traffic density is obtained through CAM messages of neighboring vehicles, which is further calculated with the number of neighboring vehicles, distance to the estimating vehicle, and the number of lanes on the road. These values are then used to classify the traffic congestion level through a fuzzy-based decision system.

### 3.1.3. Artificial neural network

Apart from using fuzzy logic mechanism, artificial neural network (ANN) which is a bio-inspired based system is also been developed to solve different complex problems and can be used efficiently to categorize the congestion states as applied in UCONDES [28], INCIDEnT [29] and ICARUS [30]. ANN is composed of several “neurons” or elementary processing units that are connected with each other in accordance with some assigned weights. Each “neuron” takes input from a source of information and produces output with the help of transfer function [31].

A Multi-Layer Perceptron ANN is used in [17] and [30] to detect traffic congestion in urban environment which is using the vehicle speed and neighboring vehicles density as input parameters for ANN to detect and classify the level of traffic congestion. Three layers are configured; (i) two neurons to represent speed and surrounding density at the input layer; (ii) four neurons at a hidden layer that is able to learn and classify congestion levels; and (iii) an output layer neuron representing the classification of the level of congestion on the roads. Figure 1 illustrates a basic form of an ANN topology used in detecting, identifying and classifying traffic congestion as applied in several techniques such as UCONDES.

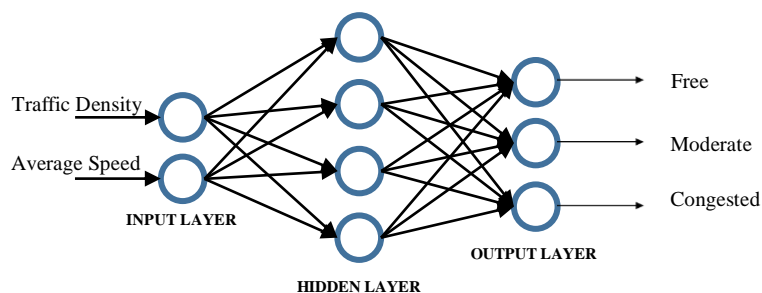


Figure 1. An example of ANN topology used in UCONDES [28]

### 3.1.4. Classifiers

SCORPION (System with COoperative Routing to improve traffic cONdition) [32] and CHIMERA (Congestion avoidance through a traffic classification MEchanism and a Re-routing Algorithm) [33] were developed in tandem in terms of years. Both mechanisms are based on a hybrid system in utilization of the RSU which offers traffic congestion detection, traffic congestion classification and route suggestion to avoid the congestion. Traffic detection applied for both mechanisms is similar to [7] and [8], using weighted graphs. Single-hop, long-range communication adapting 4G and LTE is used as a communication medium in transmitting information consisting of the vehicle’s ID, current position, route, and destination from vehicles to a central hub (RSU). Traffic congestion classification is fulfilled using K-Nearest Neighbor (KNN) classifier; which will be briefly described in the following section.

## 3.2. Traffic congestion classification methods

Amongst the traffic congestion classification methods utilized by the techniques in this overview are; 1) Level of Service (LOS) from the Highway Capacity Manual (HCM) 2) Artificial Neural Network 3) K-NN Classifier 4) other classification methods.

### 3.2.1. Level of service (LOS) in HCM

Highway Capacity Manual (HCM, 2000) defined LOS as ”a quality measure describing operational conditions within a traffic stream, generally in terms of such service measures as speed and travel time, freedom to maneuver, traffic interruptions, and comfort and convenience.”[34]. The concept of six levels of service to describe the quality of road operations using A to F letter scale first appeared in the 1965 HCM [34]. LOS is described in six levels of service described, ranging from LOS ‘A’ to LOS ‘F’. LOS ‘A’ denotes the best operating conditions of a road compared to all level of services and LOS ‘F’ defines the worst. Table 2 defines the general LOS, that is used as a guide to classify traffic congestion in [15, 17, 20, 32, 33, 35], however it is important to note that this specific definition of LOS ‘A’ through ‘F’ vary by facility type [36].

### 3.2.2. Artificial neural network (ANN)

As described in the previous section describing the Multi-Layer Perceptron ANN, upon receiving the two traffic parameters from the input layer, the neurons on the hidden layer performs computations on

the parameters that are already assigned to some weights and passes the information to the output layer. The neurons at the output layer then classify the congestion states according to calculated weights into three categories namely High congestion, Medium congestion, and free flow subsequently distributing the information to the outside world.

**3.2.3. K-NN classifier**

The K-NN is a simple machine learning algorithm that stores all available cases and classifies data or case based on a similarity context of the aggregated data. The classification is accomplished by a majority vote of the most common of the predominant class known among its k nearest neighbors. It is also characterized as a lazy algorithm, defined as having less ability and is labor intensive when dealing with large datasets [22, 23]. The operation of this algorithm is based on comparing a newly received record with the training records and finding training records identical to it. All training records are stored in an n-dimensional space, and each record with n attributes represents a point in the n-dimensional space.

Upon receiving a new record, K-NN algorithm starts to find the space for the training record that is nearest to the new record, assigns this as the new record neighbors and hence predicts the class label for the new record which is similar to the identified neighbors. The algorithm defines nearest in terms of distance metrics, such as the Euclidean distance metric that could define the distance between two records. The identified k neighbors records are then combined, and the algorithm then assigns the classification of the most similar record or records to the new record [24]. SCORPION and CHIMERA both are using KNN classifier to classify the traffic congestion. These techniques train the algorithm based on a synthetic dataset built upon reference to the LOS in HCM.

**3.2.4. Other classification methods**

LOS in HCM and ANN is reviewed as recent and popular methods used by many techniques for traffic management in VANET. However, there are also other approaches to classifying traffic congestion. Upon the basis of traffic information received such as speed, density, number of vehicles per mile per lane, estimated travel time and direction, there are various ways that this information is manipulated into classifying the traffic congestion state. ECODE [37] classifies congestion from traffic information from Traffic Monitoring Record (TMR) generated by vehicles in specific evaluating zones, however, the mechanism or classification values were not discussed.

ABEONA [38] classifies traffic congestion based on the Three-Phase Traffic flow model. This flow model classifies traffic condition into three phases; a ‘free flow’, ‘synchronized flow’ and ‘wide moving jam’. The distinction between the two congested traffic phases (synchronized and wide moving jam) is made through empirical observation in relation to spatio-temporal features of the phases. Free flow traffic is characterized by the flow of vehicles at high speed, which might be in contrast with other neighboring lanes. Wide moving jams describes a condition vehicles moving in very low speeds, as low as zero at a time, and the downstream congestion front propagates upstream with a constant average velocity. The third phase, the synchronized flow defines traffic condition with moving vehicles (non-zero speed) and all congested traffic conditions which are in contrast with the wide moving jam phase [39]. There are many other classification methods that are not discussed thoroughly in this paper. This ranges from statistical approaches such as using Naïve Bayes classifiers to more complex machine learning such as Decision Tree and Random Forest to bio-mimicry methods such as Support Vector Machine (SVM) with particle swarm optimization and Hemorheology-based Traffic Congestion model [40-47]. Level of Service (LOS) in HCM as shown in Table 3.

Table 3. Level of Service (LOS) in HCM

Level of Service	Flow Characteristics	Operating Conditions
A	Free Flow	Low Volumes and High Speeds.
B	Reasonable Free Flow	Speed starting to slow down due to traffic conditions.
C	Stable Flow	Restrictions as far as the freedom of the drivers to choose their own speed.
D	Flow Approaching Instability	Drivers have limited freedom of manoeuvre.
E	Instable Flow	Possible brief stops.
F	Forced Flow	Congestion.

**4. SIMULATION MODELS AND TOOLS**

There are various tools providing a myriad of models in simulating VANET environments interactions by fitting the requirements of scalability and applicability, some calibration with one another [48]. As shown in Table 2, SUMO (Simulator for Urban MObility) is the most widely used traffic mobility simulation tool. SUMO supports the simulation of multimodal traffic and vehicle mobility traces to be evaluated with a network simulator on-line [49]. Network simulators listed in Table 2 are OMNeT++

(Objective Modular Network Testbed in C++), ns-2 (Network Simulator 2) and ns-3 (Network Simulator 3) which are most commonly used to simulate VANET environment. These network simulation tools, however, are not able to simulate road traffic. Therefore iTETRIS and VEINS (Vehicles in Network Simulation) are needed as tools to provide modular simulation platforms that integrate traffic mobility simulator and network simulator to enable wireless communication in real time road traffic simulations. iTETRIS integrates and extends SUMO and ns-3, while VEINS integrates SUMO with OMNeT++.

EMIT is a statistical model that can be imported in SUMO, specifically to measure the CO (carbon dioxide) emissions and fuel consumption of vehicles [30]. Netlogo is a multi-agent programmable modeling environment that although is recently utilized, it is not preferred or in favor of many researchers or studies in simulating VANET environment. Through the qualitative analysis in Table 2, simulation tools used by most approaches are SUMO, OMNeT++, and VEINS. SUMO is applied as the road traffic mobility generator, OMNeT++ is applied as the event-based network simulator and VEINS simulation framework is needed to bind both SUMO and OMNeT++. The calibration of these three simulators enables online bidirectional coupling in simulating real-world traffic scenarios in VANET [50]. Urban or highway are common scenarios imported to SUMO from OpenStreetMap. Figure 2 depicts the Veins architecture in simulating VANET environments.

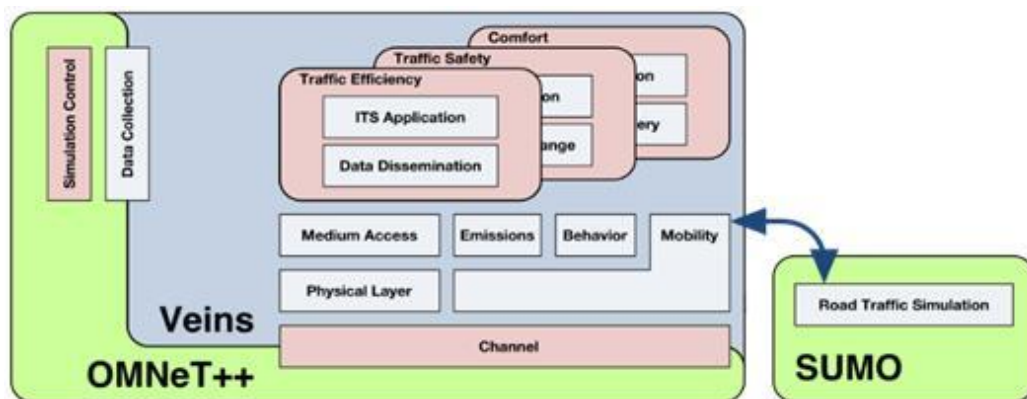


Figure 2. Veins architecture for VANET simulation

## 5. CONCLUSION

In this paper, we highlight the techniques that utilize VANET technology in the detection and classification of traffic congestion in the efforts of reducing and mitigating traffic congestion. We further provide an overview of various development of each segment in the research perspective, understanding the methodological approach, specifying its system architecture, detection strategy, and classification methods. The mobility model and simulation tools used for each technique presented in literature were also stated for reference. It is clear, through the references and qualitative analysis proposed that VANET is a well-adapted technology being utilized today in preference of its ad-hoc nature. Limitations of scalability, network connection and dynamic topology that often rises in traffic congestion detection are preferably addressed by applying a hybrid VANET system using RSU. Most techniques are classifying traffic congestion in reference to LOS in HCM and balance traffic by dispersing to other less congested routes. These strategies were developed mostly to cater the traffic issues of urban rather than the highway mobility scenario. One commonality is observed in the choice of simulation tools used by most strategies in testing, which are based on SUMO and OMNeT++. It could be concluded that there are myriad approaches and strategies in detecting and classifying traffic congestion, yet there is weak evidence of any superior or better strategy as compared to the other. This is due to the dynamics of VANET itself in allowing multiple solutions to operate in its architecture. It is interesting though to test and compare these strategies in terms of performance, scalability, and operation especially in its network communication in a fixed and similar architecture and environment, to investigate the strength and weaknesses of each approach in future.

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