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# **An Improved Public Key Encryption Algorithm Based on Chebyshev Polynomials**

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#### *Abstract*

*This paper proposes an improved public key encryption algorithm based on Chebyshev polynomials. On the base of the semi-group property of Chebyshev polynomials, we import the alternative multiply coefficient*  $K_i$  to forge the ciphertext tactfully which can make the cipher text-only aattack out of *work. The chosen of*  $K_i$  *is decided by the value of*  $T_x(T_x(x))$  *mod N, and the number of*  $K_i$  *can be chosen as required. Besides, The digital signature of the ciphertext not only can prevent the result from faking and tampering attack, but also can make the algorithm have the function of identity authentication. Experimental results and performance analyses show that the improved algorithm has much higher security and practical value.* 

*Key words: chebyshev polynomials, public key encryption, alternative multiply coefficient, semi-group property, digital signature*

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#### **1. Introduction**

Chaos possesses certain intrinsic properties such as sensitive dependence on initial condition, random-like behavior, and continuous broadband power spectrum. These characteristics match the confusion, diffusion, and key sensitivity requirements of cryptography. In recent years, there have been a tremendous amount of reports in how to use chaotic systems to design cryptographic algorithms. Although most of them aim at symmetric-key schemes, such as [1-4]. There are still some for asymmetric-key or public key cryptosystems, such as [5, 6]. The focus of this paper is on the later one, i.e., public-key cryptosystems based on chaos.

In [7], Kocarev et al. suggested public key cryptography based on the commutative property of Chebyshev polynomials over real numbers. However, it was later cryptanalyzed by Bergamo et al [2], and other researches [8, 9]. The fundamental weakness of this algorithm is that<br>that Chebyshev polynomials of order *n* have an explicit algebraic expression that Chebyshev polynomials of order  $n$  have an explicit algebraic expression  $T<sub>n</sub>(x) = cos(n \arccos x)$  over real numbers. To resist this attack, Kocarev et al. modified the algorithm by employing the Chebyshev polynomials defined over the finite field  $Z_N$  [10]. The explicit algebraic expression of Chebyshev polynomials over  $Z_N$  doesn't help to find  $n$  giving an initial value  $x_0$  and a final iterated value  $x_n$ . Furthermore, Kocarev et al. pointed out that the problem of computing *n* reduces to the discrete logarithm problem [10]. But it is not always true and it depends on the choice of *N* as the analysis [11]. There the authors analyzed the period distribution of sequences generated by Chebyshev polynomials over finite fields when the modulus *N* is a prime. An attack on the public key algorithm was also proposed, followed by an improvement of the algorithm to make it for real world applications. Besides, the security of this class cryptosystems is investigated from a practical viewpoint.

In this paper, we proposed an improved public key encryption algorithm based on Chebyshev chaotic map, which overcomes the drawbacks of the previous schemes and provided a higher level of security. Analytical and experimental results show that it is robust to generic attacks.

 This paper is organized as follows. In section II, we give a description of the Chebyshev chaotic map and some properties of it. In Section 3, the basic encryption algorithm over Chebyshev polynomials is presented, and an attack to it was described, and then an

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improved encryption algorithm is proposed. Section 4 contains the solutions to some software implementation issues, an example and the experimental results. Section 5 presents some performance analyses. Finally, conclusion will be drawn in the last section.

## **2. Preliminaries**

**Definition 1.** Let  $n \in \mathbb{Z}^+$  and  $x \in \mathbb{R}$ , then a Chebyshev polynomial of order *n*,  $T(x): R \to R$  is recursively defined using the following recurrent relation:

$$
T_n(x) = 2xT_{n-1}(x) - T_{n-2}(x), n \ge 2
$$
\n<sup>(1)</sup>

where  $T_0(x) = 1$  and  $T_1(x) = x$ .

 The first few Chebyshev polynomials are  $T_2(x) = 2x^2 - 1$  $T_3(x) = 4x^3 - 3x$  $T_4(x) = 8x^4 - 8x^2 + 1$  $\ddot{\cdot}$ 

When x is a real number,  $T<sub>n</sub>(x)$  always has the following explicit algebraic expression:

$$
\begin{cases}\nT_n(x) = \cos(n\cos^{-1}(x)), & x \in [-1,1] \\
T_n(x) = \cosh(n\cosh^{-1}(x)), & x \in [1,+\infty)\n\end{cases}
$$
\n(2)

Some important properties of Chebyshev polynomials are as follows.

$$
T_r(T_s(x)) = T_s(T_r(x))
$$
\n<sup>(3)</sup>

$$
T_n(\frac{x+x^{-1}}{2}) = \frac{x^n + x^{-n}}{2} \tag{4}
$$

Proposition 2 can be easily deduced from the explicit algebraic expression (2) and it is this commutative property that is employed by Kocarev et al. to construct a novel public key algorithm [7-10].

When  $x \in R$ , the explicit algebraic expression of  $T_n(x)$  to a security loophole in the public-key cryptosystem based on Chebyshev polynomials defined over the real number field [12]. Therefore, Kocarev et al. extended the definition of  $T<sub>n</sub>(x)$  to the finite field  $Z<sub>n</sub>$  [10].

**Definition 2.** Let  $n \ge 0$  be an integer, a variable  $x \in Z_n$  and N be a positive integer. Chebyshev polynomial of order *n* is recursively defined by

$$
T_n(x) \equiv (2xT_{n-1}(x) - T_{n-2}(x)) \bmod N
$$
\n(5)

where  $T_0(x) \equiv 1 \mod N$  and  $T_1(x) \equiv x \mod P$ .

It is easy to verify that the above propositions of  $T_n(x)$  also holds over  $Z_N$ .

## **3. The Improved Public Key Encryption Algorithm**

The public key algorithm proposed by Kocarev et al. in [10] is as follows. Suppose Alice wants to communicate with Bob. They do the followings.

1) Bob generates a large integer *s*, selects a random number  $x \in Z_N$ , and computes  $T_r(x)$  mod *N*, then sets the public key to  $(x, T(x))$  and the private key is *s*.

2) In order to send the message to Bob, Alice obtains Bob's authentic public key  $(x, T(x))$ . represents the message as a number  $M \in Z_N$ , then, she generates a large random integer r and

computes  $C_1 = T_r(x) \mod N$ ,  $C_2 = M \times T_r(T_s(x)) \mod N$ , then sends the ciphertext  $C = (C_1, C_2)$  to Bob.

3) In order to decrypt the message, Bob uses his private key *s*to compute  $T_{s}(C_1) = T_{s}(T_{s}(x)) = T_{s}(x) = T_{s}(T_{s}(x))$ , thus he recovers the plaintext by computing  $M = X / T_{s}(T_{s}(x))$ .

In [10], *N* is chosen as a prime and so the decryption is always correct. But when *N* is a composite, this algorithm encounters a problem: the inverse of  $T_s(T_r(x))$ , says  $T_s(T_r(x))^{-1}$  mod N, does not always exist which is the same problem with Rabin public key cryptosystem. This problem is equivalent to that the solution for M is not unique if  $T_s(T_r(x))^{-1}$  mod N is not invertible, i.e.  $T(T(x))$  and N have common divisors. There are two simple methods to solve it.

1) Add extra information to indicate which plaintext is encrypted.

2) When the generated random number *r* leads to common divisors between  $T(T(x))$  and N, reject it and choose another one until they are coprime.

With these measures, a composite *N* is also allowed in the cryptosystem. In the following discussion, we only address the situation when *N* is a prime. This is because composite *N* leads to a more complicated situation than prime *N* .

The above public key encryption algorithm is simple, and it could resist Bergamo et al.'s attack. However, it is just a basic idea and cannot be used directly in practice. There are still some security problems, such as vulnerability to man-in-the-middle attack, nonsupport mutual authentication and so on.

Here we introduce one kind of man-in-the-middle attack.

Suppose Malice intercepted a piece of ciphertext  $C = (T_r(x), M = T_r(T_s(x)))$  before Alice and Bob communicated. Now, he can modify the ciphertext to  $C = (T_r(x), M = kT_r(T_s(x)))$ , where *k* belongs to *ZN* and is known to Malice. Then, Malice sends the modified ciphertext to Bob for decryption and gets back the plaintext  $M = KM$ . Then, Malice can recover M by  $M = M'/k$ , which was the message Alice and Bob once communicated. This attack is a chosen-ciphertext attack with which many public key cryptosystems based on a rigid mathematical structure may suffer. To avoid this attack, a common approach is to sign the ciphertext to make sure that it has not been altered. So, a more detailed public key encryption algorithm is needed for secure

communication.

The improved public key encryption algorithm is similar to the above one, the critical factor is the adoption of the alternative multiply coefficient  $K_i ( K_i \in Z_N )$ .  $K_i$  is secret, which is only shared with participants. Accordingly, this algorithm fortifies the complexity without increasing the calculation difficulty. The digital signature prevents the ciphertext from man-inthe-middle attack and tamper attack. So, the improved algorithm inherits the advantage of the above one, but has better security and higher reliability.

The choice of  $K_i$  is decided by the value of  $T_i(T_i(x)) \mod N$ .

$$
K_{i} = \begin{cases} K_{1}, & 0 \le T_{r}(T_{s}(x)) \mod N \le \frac{N}{4} \\ K_{2}, & \frac{N}{n} < T_{r}(T_{s}(x)) \mod N \le \frac{2N}{n} \\ \vdots \\ K_{i}, & \frac{(i-1)N}{n} < T_{r}(T_{s}(x)) \mod N \le \frac{N}{n} \\ \vdots \\ K_{n}, & \frac{(n-1)N}{n} < T_{r}(T_{s}(x)) \mod N < N \end{cases}
$$
(6)

The algorithm is described as follows: (assume Alice wants to communicate with Bob) (1) Key pair generation

In order to generate the keys, Bob does the following: Randomly select an integer number *s* and  $x \in Z<sub>N</sub>$ , and computes  $T<sub>x</sub>(x) \mod N$ , and His private key is *s*, and his public key is  $(x, T<sub>x</sub>(x) \text{ mod } N)$ .

### (2) Message encryption

Assume that Alice wants to send the message  $M \in Z_N(M \neq 0)$  to Bob. She does the followings: Randomly select an integer number *r*. Get Bob's public key  $(x, T_x(x) \mod N)$ , computes  $T_r(x)$  mod N,  $T_{rs}(x)$  mod  $N = T_r(T_s(x)$  mod N), and then choses  $K_i$  according to equation 6, and computes  $X = K_i M T_{rs}(x) \mod N$ ,  $Y_A = E_K(Sig_A(X))$ .  $E_K(\mathbb{D})$  is one of symmetric cryptography. Sends the ciphertext  $(T_r(x) \mod N, X, Y_A)$  to Bob.

## (3) Message decryption

After receiving the encrypted message, in order to decrypt it, Bob does the followings: (1) Decrypt  $Y_A$  to check  $Sig_A(X)$ . If it is right, continue, or stop.

(2) Uses his private key *s* to compute  $T_s(T_r(x))$  mod  $N = T_r(x)$  mod  $N = T_r(T_s(x))$  mod N, and choses *Ki* according to equation 6.

(3) Recovers *M* by computing  $M = X / (K_i T_{\rm cr}(x) \mod N)$ .

#### **4. Software Implementation 4.1. Feasibility Analysis**

There are two main software implementation issues of this algorithm. One is the correctness of the algorithm when it is implemented in finite fields. The semi-group property of Chebyshev polynomials holds over  $Z_N$  .  $T_s(T_r(x))^{-1}$  mod *N* exists as long as *N* is a prime. So we can recover *M* by computing  $M = X / (K<sub>i</sub>T<sub>or</sub>(x) \mod N)$ . Another issue is how to evaluate Chebyshev polynomials so that the computation time of  $T_n(x)$  could be reduced. There are several kinds of measures. The first is assume the large number*s* (*r* is the same) is written as

$$
s = s_1^{k_1} s_2^{k_2} \cdots s_i^{k_i}
$$
 (7)

Then

$$
T_s(x) \bmod P = \underbrace{T_{s_1}(\cdots T_{s_1} \cdots T_{s_i}(\cdots T_{s_i}}_{k_1}(\cdots T_{s_i})(x))) \bmod P
$$
\n
$$
(8)
$$

So, for computing  $T_s(x)$  one needs only  $k_1 + k_2 + \cdots k_i$  iterations of the Chebyshev map instead of *s* iterations [7]. Because choosing *s* and then factorizing *s* to get  $k$  ( $i = 1, 2, 3...$ ) may cost a lot of time, but a reverse order can be adopted easily, i.e., *<sup>i</sup> k* is chosen randomly and then *s*is constructed by *<sup>i</sup> k* .The second is the fast algorithm of Chebyshev polynomials[13]. Rewrite the Chebyshev polynomials as

$$
\begin{bmatrix} T_n(x) \\ T_{n+1}(x) \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -1 & 2x \end{bmatrix} \begin{bmatrix} T_{n-1}(x) \\ T_n(x) \end{bmatrix} = \begin{bmatrix} 0 & 1 \\ -1 & 2x \end{bmatrix}^n \begin{bmatrix} T_0 \\ T_1 \end{bmatrix}
$$
\n(9)

From equation 9, we can find out that the key point of computing  $T(x)$  is to compute the value of matrix  $\begin{bmatrix} 0 & 1 \\ -1 & 2 \end{bmatrix}$ *n x*  $\begin{bmatrix} 0 & 1 \\ -1 & 2x \end{bmatrix}^n$ . Figure 1 illustrates the detailed process in a simplified sequence flow diagram.

After getting  $A$ , we can get the result  $T<sub>x</sub>(x)$  mod  $N$  easily using modulo operator. In [13], author has verified the high efficiency of the fast algorithm of Chebyshev polynomials.

#### **4.2. An Example**

Here we present a simple example to illustrate the basic algorithm and main steps of the algorithm. Assume  $N = 61$ , the choice of  $K<sub>i</sub>$  is decided by equation 10.

$$
K_{i} =\begin{cases} K_{1} = 3, & 0 \leq T_{r}(T_{s}(x)) \mod N \leq \frac{N}{4} \\ K_{2} = 5, & \frac{N}{4} < T_{r}(T_{s}(x)) \mod N \leq \frac{N}{2} \\ K_{3} = 9, & \frac{N}{2} < T_{r}(T_{s}(x)) \mod N \leq \frac{3N}{4} \\ K_{4} = 11, & \frac{3N}{4} < T_{r}(T_{s}(x)) \mod N < N \end{cases}
$$
(10)

(1) Bob randomly selects an integer number  $s = 3$ , and  $x = 5$ , computes  $T_s(x) \mod N = T_s(5) \mod 61 = 58$ . Bob's private key is  $s = 3$ , and his public key is  $(x, T(x) \mod N) = (3,58)$ .

(2) Alice randomly selects an integer number  $r = 7$ , she wants to send the plaintext  $M = 16$ , so she computes  $T_r(x)$  mod  $N = T_7(5)$  mod  $61 = 10$ ,  $T_{rs}(x)$  mod  $N = T_7(T_3(5)$  mod  $61) = 5$ , and then choses  $K_i = K_i = 3$  by equation 10.  $X = K_i M T_i(x)$  mod  $N = K_i M T_{i,3}(5)$  mod  $61 = 57$ . So she sends the ciphertext  $(T(x) \mod N, X) = (10,57)$  to Bob.

(3) Bob gets the ciphertext, uses his private key s=3 to compute  $T_{s}(T_{r}(x)) \mod N = T_{3}(T_{7}(5)) \mod 61 = 5$ , and choses  $K_{i} = K_{i} = 3$  according to equation 10, then he can recovers *M* by computing  $M = X / (K_i T_{sr}(x) \mod N) = 57 / 3 \cdot 5 \mod 61 = 16$ .



Figure 1. The Fast Algorithm of Chebyshev Polynomials

## **4.3. Experimental Analysis**

The software environment is that basic frequency is 2.60GHz and RAM is 1.99GB. We achieve the algorithm by programming and record the time running on different bits. The result is shown in Figure 2.

The experimental results show that this algorithm has the high efficiency when the bit is small. But when the bit is relatively large, the running time is not satisfactory. This is the point where we intend to make some improvement.



Figure 2. The Running Time of Algorithm

## **5. Performance Analyses**

We present several security analyses of the improved public key encryption algorithm here. Theoretical analyses prove the improved algorithm could effectively resist common attacks. Besides, it is efficient and practical.

## **5.1. Security Resistant to Man-in-the-middle Attack which is Described Above**

Malice alters the ciphertext by sneaking the alternative multiply coefficient  $k$ , and then gets the plaintext by calculating  $M = M / k$ . However, in the encryption process, we apply the alternative multiply coefficient *K*, tactfully. *K*, is not only decided by the value of  $T_{x}(T_{y}(x))$  mod *N* but also is only shared with participants, so we do not need worry about the safety of the chosen of  $K_i$ .

# **5.2. Security Resistant to Tamper Attack**

Alice signs the ciphertext  $Sig_A(X)$  in the encryption process, Bob can check whether the result is tampered, forged or not accordingly. If right, continue, or stop.

# **5.3. Identity Authentication**

Because Alice signs the ciphertext in the encryption process,  $Y_4 = E_K(Sig_A(X))$ , so Bob can verify the identity of Alice by Alice's public key in decryption process easily.

# **5.4. Practicability Analysis**

Modulus *N* is a large prime, and  $K_i \in Z_N$ , so  $K_i$  has a lot of choice space and can be altered regularly. Here, *i* is a optional parameters, we can chose  $K_i$  slickly as needed. Besides, if the number of users increased, we can increase the number of *i* accordingly. ln the practical cryptography application, altering key *Ki* regularly to enhance the security of cryptography has the features of high convenience, efficiency, maneuverability.

# **5.5. Comparison Between the Improved Algorithm and the Algorithm Proposed in [10]**

The two algorithm both have achieved the function of encryption. But the improved algorithm has higher security and reliability. Its critical point is alternative multiply coefficient *Ki* ,which can prevent the result suffering cipher text-only attack . The use of digital signature can help validate identities and avoid tampering attack. The performance comparison analysis are shown in the following Table1.

Table 1. The Ferion Harlce Compansons		
Attacks /functions	The algorithm proposed in [10]	the improved algorithm
Bergamo et al attack <sup>[2]</sup>	Not safe	safe
man-in-the-middle attack	Not safe	safe
tampering attack	Not safe	safe
Authentication	Not given	given
practicability	οk	Very good

Table 1. The Performance Comparisons

From the process of public key encryption algorithm, we can see that the computation complexity is the same with the former one. The application of digital signature is pretty practised. So, in practical terms, the improved algorithm is superior to the former one.

#### **6. Conclusions**

In this paper, we introduce one kind of man-in-the-middle attack and propose an improved public key encryption algorithm based on Chebyshev polynomials. This algorithm imports alternative multiply coefficient *K<sub>i</sub>* to forge the ciphertext and adopts digital signature tactfully to ensure Alice's identity. All of these measures make the system not only can resist chosen-ciphertext attack and tamper attack, but also has the function of identity authentication. Experimental results and performance analyses show that it is more secure and more practical.

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