Higher performance and lower complexity turbo decoding scheme for 4G-LTE using unpunctured turbo trellis-coded modulation

ELarbi Abderraouf, Abdesselam Bassou, Mohamed Rida Lahcene

Department of Electrical Engineering, Tahri Mohammed University-Bechar, Algeria

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ABSTRACT

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Mobile communications have become necessities and even everyday commodities. In the previous decades they evolved from a technology reserved for a limited number of individuals to the current systems that are everywhere in our lives. The task of developing mobile technologies has also evolved from a national or regional focus to a complex and growing mission, supported by global standards development organizations such as 3GPP (3rd Group Partnership Project). Thanks to the success of smart phones and mobile-ready laptops, data traffic has recently grown exponentially, and the demand for mobile data has risen very dramatically. These requests in large capacity can only be satisfied by a high efficiency and a very good optimization of the infrastructures of the mobile networks, while taking into account the constraints which are the power, bandwidth and a limited complexity. Through this research, we present everything related to the simulation of the 4G mobile network system (LTE), which can provide high data flow with good quality, through three model channels known as (EPA, EVA, ETU). In this work we focus on the block 'iterative decoding channel encoder' in the LTE system, where the iterative channel coding called 'Turbo-code' (TC) is substituted by the iterative coding channel called 'Unpunctured Turbo Trellis-coded Modulation' (UTTCM). The simulation results showed that with less decoding complexities, UTTCM's LTE system gives good performance (in terms of BER).

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Corresponding Author:

Elarbi Abderraouf, Department of Electrical Engineering, Tahri Mohammed University-Bechar, Algeria. Email: elarbiabderraouf12@gmail.com

1. INTRODUCTION

From Morse communications in the middle of the 19th century to the current mobile telephony, to Shannon's work [1], interest in communication systems has grown steadily. However, even though the ability to communicate wirelessly using Hertzian waves was discovered more than a century ago, the phenomenon accelerated in the late 20th century, with the democratization of digital systems and the deployment of mass-market cellular telephony. However, faced with the current convergence, it is at the level of all-in-one devices or different digital streams (voice, images, video, messages and data) grouped on the same transmission protocol as IP (Internet Protocol), the multiplicity of systems and the transparency of the accesses, while making the minimum compromise on the quality and the cost per bit transmitted, becomes essential to ensure a craze towards the so-called 4th generation (4G) systems, which will have to provide mobile broadband with associated services in a suitable way.

Researchers around the world have investigated the efficiency of LTE system using simulation. In [2], the author has implemented the physical layer using Matlab Simulink in order to emphasize high spectral efficiency, high peak data rates, low latency, and frequency flexibility. Considering that, LTE schedulers should be able to meet the needs of machine-to-machine (M2M) devices such as time constraints and specific Quality of Service (QoS) requirements, the authors of [3] have proposed an LTE scheduler that proved, through simulation, that it is able to reach the lowest Packet Loss Rate (PLR) and deliver highest throughput.

To address the constraints with limited bands and powers, Orthogonal Frequency Division Multiplex (OFDM) multi-carrier algorithms are among the main candidates for the spectral efficiency race. Their combination is particularly suitable, and allows considering a rise in data flow rate while maintaining a reasonable reception complexity. Indeed, multi-carrier techniques [4] make it possible to ideally use the radio resource and to comply with strict spectral constraints by distributing the power appropriately. Moreover, combined with coding, they ensure robustness by exploiting time-frequency diversity. The progress of coding-channel techniques, in particular with the appearance of the turbo principles in the article by Berrou [5], makes it possible to approach the theoretical limits provided by Shannon.

Therefore, any approach to the design and optimization of communication systems must not only lead to a compromise between spectral efficiency, but also to the resistance of these systems against transmission errors. The most important factors that lead to the quality and durability of the mobile cellular network (LTE) are related to the power and efficiency of the block (channel coding) on the transmission chain. Advanced research in the area of error correction codes has confirmed that the most powerful and efficient codes that have a better decoding algorithm (in terms of corrective capability) and the least complexities, are the ones that are limited to codes that use the iterative calculation algorithm. An optimal code can have a great capacity of the correction of errors, if its complexity is weak [6], as we can reduce the decoding complexity while preserving the same performances of this code as those described in [7-9]. Hence the practical advantage of localized iterative decoding in that powerful codes can now be decoded with reasonable complexity, so much of the research is focused on evaluating iterative decoding performance for the future LTE network systems. Proakis considered in [10] that turbo-code is an evolution of the convolutional encoding technology used in all previous standards with impressive performance in terms of capacity in nearby channels. Since the first publication on the turbo codes, various works have been done. Most of these works illustrated their performance or presented optimization methods using binary modulations. However, the concern to transmit at high rates while being confined to a finite bandwidth has led some authors [11-13] to consider the application of turbo codes for modulations with high spectral efficiency.

An attempt to improve the performance in sense of Bit Error Rate (BER) of LTE decoder has been proposed in [14]. The authors have proposed an architecture of reversed-trellis tail-biting convolutional codes [15] (RT-TBCC) for LTE communication standardthatdemonstrates promising results in hardware implementation and performance. This solution is a significant decrease in complexity compared to fixed-tail maximum-likelihood (FTML) decoder.

The main goal of this work is to improve the performance of the 4G network using a new iterative coding technology, which is efficient and less complex, called UTTCM [16] instead of turbo-code. UTTCM is among iterative codes with high spectral efficiency and which contains two TCM encoders linked together in parallel. Trellis-coded modulations (TCM) was invented by Ungerboeck in 1982 [17] and UTTCM codes was the result of a continuous series of innovations from the TTCM as described in [18, 19] to the PTTCM as described in [20-22]. UTTCM codes are very effective for communications on limited band channels [23].

2. STRUCTURE OF THE LTE SYSTEM

The physical layer of LTE technology, depicted in Figure 1, is an efficient way of sending data and control signals between base station and the mobile user. LTE uses many advanced technologies, including Orthogonal Frequency Division Multiplexing (OFDM). Besides these on the uplink, it uses single carrier Frequency Division Multiple Access (SC-FDMA), while on the downlink, it uses Orthogonal Frequency Division Multiple Access (OFDMA). In this section we will model the different blocks of the physical layer in the case of a downlink (link between an eNodeB and a UE) through the downstream transport channels (PDSCH). For this, we will consider the three entities involved: the transmitter, the propagation channel and the receiver.

In the transmitter side, the data coming from the upper layers are encapsulated in the form of frames [24-26] called "transport block" before their passage in the radio link and their transmission whose duration is characterized by the Transmission Time Interval (TTI). The Transport Block Size or TBS depends on the number of physical resource blocks (PRBs) and the modulation and coding scheme adopted. The transmitter

starts with resource data that are grouped as transport blocks. In each TTI, a transport block will be transferred first to the coding portion of the channel that consists of two encoders CRC and a Turbo encoder. knowing that the most used CRC calculations are designed to always be able to detect errors of certain types, such as those due for example to interference during transmission, CRC is used at the beginning of channel coding [27]. Next, the rate adaptation block combined with the hybrid ARQ retransmission technique is a kind of coordinator between the channel coding and the downlink shared physical channel blocks [28]. Then the code word transmitted to the physical channel in a subframemustbe scrambled before the modulation to cope with the interference by performing the XOR operation of the input bits with a scrambling code [29]. At the end of the transmitter chain, we use the so-called modulation that allows bits to be associated with modulation symbols, such that each code word must be modulated with one of the modulations (QPSK, 16QAM, 64QAM). Then we send the result of modulation via the OFDM technique [30] through radio channels to reach the receiver that does the reverse processing.



Figure 1. Physical layer specifications in LTE

3. CODING OF THE CLASSICAL CHANNEL IN LTE

Radio propagation channel models are considered to be a more important factor in the simulation of radio transmission systems. Therefore, all standards for design and optimization recommend channel models for specific propagation environments. Knowing that these channel models are characterized by path loss mitigation, shadow fading, and multipath fading, our interest in this work is centered on the conditions of propagation of multipath fading present in a typical LTE channels, and their impact on the estimation of the temporal delay of the signals. From the definition of Tapped-Delay Line (TDL) models, 3GPP standards have determined the impulse response of the LTE channel by the following formula:

$$h(\tau, t) = \sum_{k=1}^{K} a_k \,\delta(\tau, \tau_k) \tag{1}$$

while each path is characterized by a constant delay, a relative power and also by a Doppler spectrum, where k is the number of the path and τ_k is the path delay k relative to the first path and a_k is the complex amplitude distributed by Rayleigh for each path, which follows a conventional Jakes Doppler spectrumS(f):

$$S(f) \propto \sqrt{\frac{1}{1 - (f/f_D)^2}} \text{ and } f \in [-f_D, f_D]$$

$$(2)$$

The 3GPP standard in [31], was limited to the use of three models of the main channels, represented in Pedestrian A and Vehicular A channels in [32], and the Typical Urban channel (TU) in [33], which correspond exactly and respectively to the environments characterized by a low, medium and large delay difference, knowing that these channels exploits a bandwidth that reaches 5 MHz or higher [34]. As described in TS 36.101 [35] and TS 36.104 [36] and by means of the 3GPP and ITU standard, the LTE system is adopted on three models of propagation channels essential for simulation and testing. These models are defined as follow: the Extended Pedestrian A (EPA), Extended Vehicular A (EVA) and Extended Typical Urban (ETU) channel models. The following Table 1 shows the maximum Doppler shifts for each model representing low, medium, and high mobile conditions.

Tap no.	EPA channel		EVA channel		ETU channel	
	$\tau(ns)$	SMR (dB)	$\tau(ns)$	SMR (dB)	$\tau(ns)$	SMR (dB)
1	0	0.0	0	0.0	0	-1.0
2	30	-1.0	30	-1.5	50	-1.0
3	70	-2.0	150	-1.4	120	-1.0
4	90	-3.0	310	-3.6	200	0.0
5	110	-8.0	370	-0.6	230	0.0
6	190	-17.2	710	-9.1	500	0.0
7	410	-20.8	1090	-7.0	1600	-3.0
8			1730	-12.0	2300	-5.0
9			2510	-16.9	5000	-7.0

Table 1. LTE Tapped-delay Line Channel Models Parameters

4. SIMULATION RESULTS ON THE LTE SYSTEM

The objective of this part is to simulate the physical layer of the mobile network of the 4th generation (LTE) downlink with Matlab tools by varying the different parameters, which will allow us to highlight the contribution of this new performance technology such as data flow rate, Bit Error Rate (BER), etc. We focus (in the transmission chain shown in Figure 1) on the channel coding block to improve the performance quality of the LTE system, firstly by using the turbo-coder as an error-correcting code.

4.1. The used Parameters

The Table 2 as shown in parameters used during the simulation are given as follow:

Table 2. Parameters used in the Simulation					
Parameter	Value				
size of frame transmitted	3869				
FFT size	1024				
No of resource blocks	50				
No of Transmit and received antennas	1×1				
Cell ID	17				
Cyclicprefix	Normal				
Duplex mode	TDD(Time Division Duplex)				
Downlinkchannel	PDSCH (Physical downlink shared channel)				
Channel bandwidth	10 MHz				
Channel model	EVA / EPA/ ETU				
Doppler shift	f(EVA) =222.22 /f(EPA) =5.55 /f(ETU) =5.55				
Modulation	QPSK, 16QAM, 64QAM				
Equalization mode	Zero-forcing				

From the simulation results, the bit error rate of a digital communication system is an important parameter used to quantify the integrity of the data transmitted by the system. Figures 2, 3 and 4 show the performance of the LTE system using different modulations: QPSK, 16-QAM and 64-QAM over three channels EPA, EVA, ETU using turbo coded as channel coding where its coding rate equals to 1/3. The decoding is done using the Log-MAP algorithm with a number of decoding iterations equals to 5.

From Figures 2, 3 and 4, it can be deduced that, on all the channels of the LTE system simulation (EPA, EVA, ETU), the performance of the system decreases when the constellation size and the spectral efficiency increase.





Figure 2. LTE system performance for different modulations, using the standard turbo-code channel coding for 5 decoding iterations on EPA channel

Figure 3. LTE system performance for different modulations, using standard turbo-code channel coding for the 5th iteration of EVA channel decoding



Figure 4. LTE system performance for different modulations, using standard turbo-code channel coding for the 5th iteration of ETU channel decoding

4.2. UTTCM Encoder Performance on the LTE System

The performance of a coded modulation scheme for a non-frequency selective Rayleigh channel is strongly dependent on the minimum Hamming distance between symbols of the transmitted code. In [37], Al-Semari and Fuja proposed I-Q TCM (Modulations in Phase Trellis and Quadrature Coded Modulation) schemes that have far greater symbol-to-symbol Hamming distances than conventional Trellis coded modulation schemes. They showed that large gains could be obtained by using simple convolutional codes optimized in terms of minimum Hamming distance in combination with coding and mapping on I and Q channels. The TCM coder was chosen by maximizing the free Euclidean distance (d_f) , d_f is calculated by an algorithm that searches, over the TCM trellis, the path that maximizes d_f . In an IQ turbo-encoder, each group of information bits is associated with its coded symbols which are obtained alternately either at the output of the first turbo-encoder, or at the output of the second. The bits coded at the output of the two coders are modulated separately on the in-phase and quadrature channels of the desired constellation following a Gray mapping. In this part, we introduce the UTTCM coding structure, which is used during downlink transmission of the LTE system (based on TCM codes).

The UTTCM encoder is a parallel concatenation of two TCM coders of efficiency m/(m + 1) separated by a symbol interleaver [16]. At the input of the mapper, we find *m* systematic bits, a bit of parity from the external encoder and an unknown deinterlaced bit from the internal encoder successively. This leads to the use of a constellation 2^{m+2} point (for simulations, M-QAM modulation is adopted) [16]. The UTTCM encoder works, in this case, with a spectral efficiency of *mbps*.

Figure 5 illustrates the structure of the LTE system when using the UTTCM encoder. The difference between the two structures of the LTE system that use the encoders (turbo-code and UTTCM) is the absence of the mapping scheme in the LTE transmission chain.



Figure 5. Physical layer specifications in LTE using UTTCM

The Table 3 shows the decoding complexity of the 8-state UTTCM encoder and the standard 8-state turbo-code encoder (coding rate r = 1/3) used in the previous simulation, for a spectral efficiency of 2 *bps*. It is clearly shown from Table 3 that the substitution decoding scheme (UTTCM) is less complex than Turbo-code.

10-QAM and 04-QAM					
	Channel encoder	Turbo-code	UTTCM		
	Number of states	8 st	ates		
	Modulation	64QAM	16QAM		
	Addition	248352	98120		
operations	Subtraction	410624	105704		
	Multiplication	497958	122574		
	comparison	941444	233540		

Table 3. Turbo-code and UTTCM Comparison as a Function of Decoding Complexity for

In this section we present and interpret the simulation results of UTTCM coding techniqueapplied on the LTE system transmission chain for the three fading channels (EPA, EVA, ETU) and for the 16QAM modulation shown in Figure 6 with two types of mappings (Ungerboeck-Gray and Gray). We will show that the UTTCM encoding technique is very efficient in terms of BER compared to the standard Turbo-code channel coding when we keep the same spectral efficiency between the two coding techniques.

				1			_		
00	10	10	00		00	10		10	00
01		1	11		01			10	
01	11	11	01		01	11		11	01
				1			_		
01	11	11	01		01	11		11	01
00		1	10		00			11	
00	10	10	00		00	10		10	00
16-QAM Gray mapping			16-QAM Ungerboeck-Gray						

Figure 6. Constellations of 16-QAM with Gray mapping and Ungerboeck-Gray mapping

According to the results of simulations shown in Figure 7, it can be seen over the three fading channels (EPA, EVA and ETU) that, for the same spectral efficiency of 2 *bps*, the UTTCM coder has a good performance compared to the turbo-code coder during downlink transmission of LTE system despite the very low complexity of UTTCM encoder decoding relatively to turbo-code decoder.

The existing encoding gain between the UTTCM encoder and Turbo-code curves has been measured when BER= 10^{-5} . For the EPA channel we have benefited from G1 = 4.71dB, for the EVA G2 = 4.56dB and for the ETU G3 = 7.54dB, so the LTE system with UTTCM channel encoding has better performance than the LTE system with standard Turbo-code encoding on all simulation channels (EPA, EVA, ETU).



Figure 7. Performance comparison between the LTE system using the modulation UTTCM encoder (16QAM) for the 3rd decoding iterations and the LTE using the standard Turbo-code for the 5th iteration (64QAM) on: (a) the EPA channel, (b) the EVA channel, (c) the ETU channel

4.3. Influence of Iteration Number with Decoder Complexity on the EPA Channel

Figure 8 shows the performance comparison between the results obtained from the UTTCM encoder and the standard Turbo-code encoder, as well as the influence of the number of iterations, for the EPA channel.



Figure 8. LTE system performance comparison using the UTTCM encoder for 1 and 3 decoding iterations and the LTE using the Turbo-code encoder for the 5th iterations on the EPA channel

This result indicates that the UTTCM encoder gave higher performance compared to the turbocoder. Despite the fact that the degree of complexity of the UTTCM decoder is much less than the turbo-code decoder, this result also shows that the more iterations, the higher the performance, however the increase of numbers of iterations lead to the increase of the degree of complexity, and hence the cost of decoding. Therefore, the relationship between the complexity rate and the degree of cost is proportional.

4.4. Effect of the Constellation Mapping on the Performance

To make signal sequences more resistant to noise, they must be very different from one to another. In other words, the Euclidean distance between the different signals taken in their space must be as big as possible. Figure 9 shows that the constellation that uses Gray mapping gives better performance (in terms of BER) than the constellation that uses Ungerboeck-Gray mapping over all simulation channels.



Figure 9. Performance comparison between gray and Ungerboeck-Gray constellation of the UTTCM coder used in the downlink of the LTE system on the channel: (a) EPA, (b) EVA, (c) ETU

5. CONCLUSION

At the physical layer level, the LTE system makes it possible to exploit the propagation channel with great flexibility. The flexibility of the configuration offers many advantages (scalability according to the mobile receiver's capabilities, adaptation to the environment, etc.). The potential of LTE system techniques have been evaluated in order to satisfy the constraints of spectral efficiency, robustness or even compatibility with simple and economical receivers, in various environments. This framework realized in this article focuses mainly on analysis and simulation for optimizing and improving the performance of LTE systems against transmission errors in model channels (EPA, EVA, and ETU). The results of the simulations have proved that the UTTCM encoding technique brings a significant gain in terms of performance quality and the degree of complexity i.e. complexity, relative to the turbo-code.

D 359

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BIOGRAPHIES OF AUTHORS



ElarbiAbderraouf was born in Adrar, Algeria. He received the Dipl.El.-Ing. Degree from the University of Molay-Taher-Saida, Algeria in 2008, and his Master from the University of Bechar, Algeria in 2013.Actually, he is a Doctorate student at University of Bechar, Algeria. His main interests are turbo encoding schemes, iterative decoding over fading channels,Correspondance address: Information Processing and Telecommunication Laboratory (LTIT), Tahri Mohammed University, Bechar 08000, Algeria. E-mail: elarbiabderraouf12@gmail.com



AbdesselamBassou was born in Bechar, Algeria. He received the Dipl.El.-Ing. Degree from the University of Tlemcen, Algeria in 1997, his Master from the University of SidiBel Abbes, Algeria in 2000, and his Doctoral degree Es Science from the University of SidiBel Abbes, Algeria in 2006. Actually, He is an Associate Professor at University of Bechar, Algeria. His main interests are digital signal processing, turbo encoding schemes and iterative decoding over fading channels, and channel equalization Correspondanceaddress: Information Processing and Telecommunication Laboratory (LTIT), Tahri Mohammed University, Bechar 08000, Algeria. Email: a.bassou@gmail.com



Lahcene Mohamed Rida was born in Adrar, Algeria. He received the Dipl.El.-Ing. Degree from the University of Bechar, Algeria in 2009, and his Master from the University of Bechar, Algeria in 2012, and his Doctoral degree Es Science from the University Bechar, Algeria in 2018 Algeria. His main interests are turbo encoding schemes, iterative decoding over fading channels, and complexity of encoder.Correspondance address: Information Processing and Telecommunication Laboratory (LTIT), Tahri Mohammed University, Bechar 08000, Algeria. E-mail: lahcenereda1@gmail.com