Density-based classification with the DENCLUE algorithm

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ABSTRACT
Classification of information is a vague and difficult to explore area of research, hence the emergence of grouping techniques, often referred to Clustering. It is necessary to differentiate between an unsupervised and a supervised classification. Clustering methods are numerous. Data partitioning and hierarchization push to use them in parametric form or not. Also, their use is influenced by algorithms of a probabilistic nature during the partitioning of data. The choice of a method depends on the result of the Clustering that we want to have. This work focuses on classification using the density-based spatial clustering of applications with noise (DBSCAN) and DENsity-baSED CLUstEring (DENCLUE) algorithm through an application made in c#sharp. Through the use of three databases which are the iris database, breast cancer wisconsin (diagnostic) data set and bank marketing data set, we show experimentally that the choice of the initial data parameters is important to accelerate the processing and can minimize the number of iterations to reduce the execution time of the application.

1. INTRODUCTION
The desire to classify in order to reduce and better control has gradually developed towards the ambition of automate classification to design and, why not, predict the future. This human vision has pushed scientific community in the field, for years, to improve and find new solutions allowing to release useful knowledge through powerful and autonomous applications which concern automatic classification, simulation and visualization in two or three dimensions of events that may occur in the future. In the analysis of statistical data, an individual is associated with a class among several predefined classes. But in the case of an unsupervised classification, the classes are not known in advance, we then group together into individuals or objects having common properties from a large number of data, hence the complexity of the grouping and identification of the number of classes. Such a classification arose in the analyzes of archaeological data (classifying objects according to age), and medical data (classifying patients according to age, weight, and symptoms).

Subsequently, other uses of classificationin the processing of text data, of image recognition of knowledge extraction [1], which pushed researchers to focus on advanced research of algorithms and clustering techniques as they progress. and with the development of computer tools, hence the emergence of a science aimed at the processes of extracting knowledge from data [2]. In some situations the look and format of clusters can be very useful for analysis and knowledge extraction when visualizing big data and choosing clusters. One can find clusters embedded in others or isolated, depending on the representation of

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the data. In this context, the contribution we make is the realization of a data mining computer application which analyzes data from the preprocessing phase to classification using density-based clustering.

Most recently, Platoš [3] presented a density-based clustering analysis. The idea was to identify dense, fine-grained regions in the data, whose grouping produces clusters of arbitrary shape. It is a hierarchical classification algorithm called density-based spatial clustering of applications with noise (DBSCAN) based on density. Groups are formed by dense grid regions of adjacent connectivity, since they share a common side and corner. Connected regions can be found by crossing first or deep using a graph-based model. The algorithm gives good representations with the noise points. The contour of the clusters is smoother, while the rectangular regions are substituted by a spherical area identified by the radius.

We approach in our research method two algorithms, the DBSCAN which allows clustering [4] by density, and the DENsity-based CLustErIng (DENCLUE) [5], it was proposed [6] between 1998 and 2000, based on mathematical functions. Although it acquires high complexity with the number of input parameters, it shows acceptable results. Given the importance of the uses of classification in botany, medicine and banking marketing, we tested in section 3 three corresponding databases.

2. RESEARCH METHOD: density-based clustering

Density-based clustering [7], [8] uses the notion of neighborhood [9], [10] to determine a kernel cluster \( x_i \). \( N\varepsilon(x_i) \) is the neighborhood of \( x_i \) it is the set of points of \( X \) whose distance from \( x_i \) is less than or equal to the radius \( \varepsilon \):

\[
N\varepsilon(x_i) = \{x_i \in X \mid d(x_i, x_j) \leq \varepsilon\}
\]  

For this type of algorithm, we need to define two parameters: \( \varepsilon \) the minimum radius around the kernel and \( M \) the minimum number of points for the neighborhood \( N\varepsilon(x_i) \).

The density-based spatial clustering of applications with noise (DBSCAN) [11] algorithm is one of the best known using these two parameters for the identification of clusters based on the notion of neighborhood around a nucleus. The algorithm is very easy to understand and does not require that we provide it with the number of clusters to find. It is able to handle absurd data and get it rid of from the partitioning process. This algorithm can be described as follows Algorithm 1:

<table>
<thead>
<tr>
<th>Algorithm 1: density-based spatial clustering of applications with noise (DBSCAN)</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Algorithm</strong> DBSCAN(Density-Based Spatial Clustering of Applications with Noise)</td>
</tr>
<tr>
<td><strong>Input</strong>: two parameters: ( \varepsilon ) the minimum radius around the nucleus and ( M ) the minimum number of points for the neighborhood fixed in advance and the set of points ( X ).</td>
</tr>
<tr>
<td><strong>Output</strong>: Partition ( C = {C_1, \ldots, C_k} ) of ( X ) with ( k ) d-clusters.</td>
</tr>
<tr>
<td><strong>Begin</strong></td>
</tr>
<tr>
<td>- Initialize cluster ( C_{id} = \phi ) with ( id=1 )</td>
</tr>
<tr>
<td>- For ( i = 1 ) to ( n ) do</td>
</tr>
<tr>
<td>a. If ( x_i ) is not a core or if ( x_i \in U_{j=1}^{id-1}C_j ) then go back to step 2</td>
</tr>
<tr>
<td>b. Build Cluster ( (x_i, X, \varepsilon, \Omega) )</td>
</tr>
<tr>
<td>c. ( id = id + 1 ) and ( C_{id} = \phi )</td>
</tr>
<tr>
<td>End for</td>
</tr>
<tr>
<td>- Return all the d-clusters: ( C_1, \ldots, C_{id-1} )</td>
</tr>
<tr>
<td><strong>End.</strong></td>
</tr>
</tbody>
</table>

Although this algorithm is easy to understand, it remains slow computer execution, especially when the number of points is large. Its complexity is quadratic, of the order of \( (n^2) \) [12], [13], but can be reduced to \( O(n\log(n)) \) by simplifying the implementation of the algorithm.

Another algorithm also allows density clustering, it is the DENsity-based CLustErIng (DENCLUE) algorithm [14]. Although it acquires a high complexity with the number of input parameters, it has the following advantages:
- Very efficient when dealing with aberrant data presenting noise;
- Capable of mathematically describing arbitrarily chosen clusters belonging to large data sets;
- Fast compared to DBSCAN [15] and therefore stronger.

This algorithm is based on estimation of the density of the kernel through different functions, it is almost the same principle as the DBSCAN algorithm, except that here we have the possibility to illustrate internal hierarchical structure in the distribution data by adjusting window width \( \sigma \) of the kernel influence function.

Let \( D = \{x_1, \ldots, x_n\} \) denote the data set of \( n \) objects in the space \( \Omega \). We describe the DENCLUE algorithm by the following notions:
The estimation of the kernel by the overall density function
\[ f^D(x) = \frac{1}{n} \sum_{i=1}^{n} K \left( \frac{x-x_i}{\sigma} \right) \]  
(2)

With \( K(x) \) the kernel influence function which is a symmetrical density function with a peak at the origin, it can be a Gaussian function, a square wave function.
\( \sigma \): the window width of the kernel function.

- Density attractor and density attraction, let \( x^* \) denote a local maximum point of the global density function, for a point \( x \in \Omega \) if there exists a set of points \( x_0, \ldots, x_k \) such that \( x_0 = x \) and \( x_k = x^* \) and \( x_i (0 < i < k) \) so that it lies in the gradient direction of \( x_{i-1} \), then \( x \) is attracted to density by \( x^* \) and \( x^* \) is an attractor of density of \( x \).

If the kernel function \( K(x) \) is continuous and differentiable at each point, the gradient-based escalation method can be used to find the density of attractors.

- Center-based clustering. With \( x^* \) a given density attractor, if there exists a subset \( C \subseteq D \) such that \( x \) is attracted in density by \( x^* \) and \( f^D(x^*) \geq \xi \) with \( \xi \) is a noise threshold preset, then \( C \) is the cluster with \( x^* \) its center.

- Clustering with an arbitrary shape: let \( X \) be a set made up of attractor density. If there is a \( C \subseteq D \) subset which verifies:
\[
\forall x \in C \quad \exists \text{an attractor of density} x^* \in X \text{ so that } x \text{ is attracted in density by } x^* \text{ and } f^D(x^*) \geq \xi ;
\]
\[
\forall x_i^*, x_j^* \in X \quad \exists \text{a path } P \subseteq \Omega \text{ from } x_i^* \text{ to } x_j^* \text{ which satisfies the following condition:}
\]
\[
y \in X, f^D(y) \geq \xi . \quad C \text{ is called the cluster of the arbitrary shape determined by } X.
\]

Necessarily, two parameters must be provided to execute this algorithm, which are \( \sigma \) : the window width of the kernel function and \( \xi \) : the preset noise threshold, the choice of these two parameters influences attractors and number of found clusters.

The basic steps of the DENCLUE Algorithms are as follows:

- Determine the density attractors.
- Associate data objects with density attractors using escalation.
- If possible, merge the initial clusters by relying more on a hierarchical clustering approach.

However, although it takes into account incomplete data and outliers [17], its complexity can be on the order of \( O(n \log(n)) \), which is acceptable.

We have implemented in our application the steps defined in the DENCLUE algorithm below (Algorithm 2), it receives as parameters the database \( D \) and the noise threshold \( \xi \):

Algorithm 2: DENCLUE [18]

```plaintext
Algorithm DENCLUE(Dataset: D, Threshold: \( \xi \))
Begin
    Determine the density attractor of each data point in a dataset with gradient ascent rule;
    Create clusters of data points that converge to the same density attractors;
    Discard clusters whose density attractors have density less than (noise and outliers);
    Merge clusters whose density attractors are connected with a path of density at least return clusters;
End.
```

However, before using raw data, it went through a preprocessing step to eliminate those that contain type errors that could present outliers and delay the classification step and subsequently cloud the visualization of the clusters.

3. RESULTS AND DISCUSSION

The evaluation of the results of a clustering method remains an open problem. But here the main difficulty lies in the fact that the evaluation of the results of the classification is subjective in nature. Accordingly, there are several relevant ways for classifying data objects. In practice, and to verify the reliability of this density-based classification technique, an application has therefore been made in C-Sharp. It groups together several functionalities starting with the preprocessing, then the processing and classification of data.

The application allows user to decide on the choice of initial data and noise threshold. In order to test and evaluate, we use a databases from the web site of an American open access server named “the UC
irvine machine learning repository” (UCI) [19]. This server hosts a machine learning repository which is a collection of databases, domain theories and data generators used by the machine learning community for empirical analysis of algorithms.

3.1. Tests on the iris database

Initially we tested the application on a database of which we know the clusters to find. In this context, we use the iris database; it contains 3 classes of 150 records under the following five attributes: length and width of sepals and petals as well as the species. The choice of this base is the best known example in the field of machine learning. The system classifies iris flowers into three species (setosa, versicolor, and virginica) based on measurements of the length and width of sepals and petals. First we apply a preprocessing and processing phase to the data in order to eliminate those containing errors, then we classify them using DBSCAN and DENCLUE. The Figure 1 represents all the data after cleaning. The processing and classification time is very short considering the number and size of this database, it is on the order of a 150 milliseconds. To have a clear view of the nature of the data, the Figure 2 determines the repetitions number for each attribute:

![Figure 1. Data visualization after cleaning](image)

![Figure 2. Visualization of the occurrence of data after processing](image)
Finally, for the two algorithms, the choice of initial attributes for the classification is decisive for the identification of clusters. In the Figure 3, clusters can be visible by color of the classes, the crossing of petals length with sepals width gives good result. The three clusters are visible by groupings points of different colors.

Figure 3. Visualization of iris clusters

3.2. Tests on breast cancer wisconsin (diagnostic) data set

Another test is being applied to the wisconsin hospital breast cancer disease database. As shown in Table 1, this database contains 683 rows and 11 columns. The interest of this choice is to identify the parameters determining the type of breast cancer in order to better target the dosage adopted for patients. After cleaning the data, we presented their occurrences for the set of attributes in Figure 4.

<table>
<thead>
<tr>
<th>Attribute</th>
<th>Domain</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sample code number</td>
<td>id number</td>
</tr>
<tr>
<td>Clump Thickness</td>
<td>1-10</td>
</tr>
<tr>
<td>Uniformity of Cell Size</td>
<td>1-10</td>
</tr>
<tr>
<td>Uniformity of Cell Shape</td>
<td>1-10</td>
</tr>
<tr>
<td>Marginal Adhesion</td>
<td>1-10</td>
</tr>
<tr>
<td>Single Epithelial Cell Size</td>
<td>1-10</td>
</tr>
<tr>
<td>Bare Nuclei</td>
<td>1-10</td>
</tr>
<tr>
<td>Bland Chromatin</td>
<td>1-10</td>
</tr>
<tr>
<td>Normal Nucleoli</td>
<td>1-10</td>
</tr>
<tr>
<td>Mitoses</td>
<td>1-10</td>
</tr>
<tr>
<td>Class</td>
<td>(2 for benign, 4 for malignant)</td>
</tr>
</tbody>
</table>

The execution of the two classification processes is quite fast, clusters are visible for certain graphs, which makes it possible to identify the relevant attributes, the shapes of observed clusters are not regular, there are also some outliers, but objects with malignant cancer are most common and more dominant. Just below a global visualization of all patient parameters is given by our classification application. An enlarged representation of two graphs is observed, patients suffering from the malignant type concert are visualized by red dots and those of the benign type by white dots as shown in Figure 5.

According to the Figure 4-5, the shape of the clusters can be useful for the validation of the initial parameters to be used in the classification process, we find as significant attributes: clump thickness, uniformity of cell shape and size, mitoses. However, these elements cannot be analyzed with human eye, given the large size and complexity of the information contained therein, hence the need to enrich the database to better visualize isolated cases.

Density-based classification with the DENCLUE algorithm (Mouhcine El Hassani)
Figure 4. Visualization of the occurrence of data after processing
3.3. Tests on bank marketing data set

This database contains about 45,000 rows and 17 columns, so it is important to choose data and number of attributes to enter into the system. As attributes of this database we cite: age, job, marital status and desired target (has the client subscribed a term deposit? "yes", "no"). Given the size and the number of data in this database, we have only presented the following three graphs as shown in Figure 6.

We can see some clusters in different forms and in some cases, they are too close to each other, hence the difficulty of surrounding them as shown in Figure 7. After fixing initial parameters to better
calculate density attractors, we construct points with same density which converge. Running system produces a grouping of points in non-regular shapes. Clusters can be seen with the naked eye, but there are also outliers that cloud their visibility. In addition, the choice of the noise threshold and the size of data slow down the processing and produces exceptions related to the limits performance of the computer hardware.

Figure 7. Visualization of bank marketing data set

3.4. Discussion and evaluation

The evaluation of the method [20] can be done on the basis of the found clusters and the quality of knowledge [21]-[23] extracted for good decision-making. However the choice of the density of the attractors and the noise threshold [24] are determining factors for a good measurement of evaluation. Escalation during the search for density attractors [25] and the size of the data to be processed intervene in evaluation of the method. In the example of database (bank marketing data set), the waiting time has been increased from 10 minutes until the system crashes, depending on the number of attributes and rows initially chosen.

The Table 2 shows that the DENCLUE algorithm is better for large databases, it allows choosing input parameters to reduce execution time. In addition, when making a choice of initial parameters to speed up the process, it is possible to omit identification of some of the outliers of interest in decision making. To assess the quality of clustering, we consider how compact the clusters are and how separated they are, it depends on what we want as a result of clustering. The measurement of the average width of the silhouette [26] of the clusters allowed us to present the quality of the clusters in the table.

Another way of evaluation is to call in an expert [27] to understand the meaning of grouping in a particular field. However, while it is possible for an expert to tell whether a given clustering grouping makes sense, it is much more difficult to quantify its interest, or to say whether a given result [28], [29] is better than another. Furthermore, the applicability of the method cannot be extended to other types of data.

<table>
<thead>
<tr>
<th>Algorithm</th>
<th>Complexity</th>
<th>Cluster format</th>
<th>Parameters</th>
<th>Noise resistant</th>
<th>Cluster quality</th>
<th>RunTime</th>
</tr>
</thead>
<tbody>
<tr>
<td>DBSCAN</td>
<td>O(n^2)</td>
<td>Arbitrary</td>
<td>No input parameter</td>
<td>Well 10%</td>
<td></td>
<td>200 ms, infinitely diverges for large data</td>
</tr>
<tr>
<td>DENCLUE</td>
<td>O(n log(n))</td>
<td>Arbitrary</td>
<td>Depending on the size of database</td>
<td>Better 20%</td>
<td></td>
<td>100 ms better than DBSCAN and increases for data&gt; 10,000</td>
</tr>
</tbody>
</table>

Table 2. Comparative analysis of the two density based algorithms
4. CONCLUSION

We have highlighted the classification using the notion of neighborhood for computation the density of neighboring points. We have done tests on three databases to verify the validity of algorithm, the results can be accepted and used under certain conditions. This was motivated by the reduction, as much as possible, of execution time of calculations and better exploitation of data. We had, therefore, succeeded in the realization of an application regrouping the various processes of extraction knowledge from data, passing by the preprocessing to the search of incoherent and incomplete data, towards treatment and decision-making on the choice of initial values for process learning, after saving the prepared data without affecting the initial ones. Among the short-term perspectives, it is advisable to plan to develop the DENCLUE algorithm in a new version thus allowing a better classification of big data and a reduced execution time, without forgetting the outliers which can be decisive in certain situations.

5. FUTURE WORK

For future work, we want to develop and optimize our system to allow users to move around a data space and get to where they need to be while being subject to the constraints imposed by the decision-making system. Thus we will try to reduce the execution time of the two algorithms DENCLUE and DBSCAN and to improve the quality of the images representing satisfactory classification results and comparable to those of WEKA, the learning and data mining software of the University of Waikato in New Zealand. Also we will try to have a general or detailed vision with color and shape options that can simplify the visualization of the crossed data and even a storage in high resolution photo files, which constitutes an additional point for the knowledge extraction.

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REFERENCES


Density-based classification with the DENCLUE algorithm (Mouhcine El Hassani)


